Using Safety Contracts to Guide the Integration of Reusable Safety Elements within ISO 26262

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Abstract—Safety-critical systems usually need to comply with a domain-specific safety standard. To reduce the cost and time needed to achieve the standard compliance, reuse of safety-relevant components is not sufficient without the reuse of the accompanying artefacts. Developing reusable safety components out-of-context of a particular system is challenging, as safety is a system property, hence support is needed to capture and validate the context assumptions before integration of the reusable component and its artefacts in-context of the particular system.

We have previously developed a concept of strong and weak safety contracts to facilitate systematic reuse of safety-relevant components and their accompanying artefacts. In this work we define a safety contracts development process and provide guidelines to bridge the gap between reuse of safety elements developed out-of-context of a particular system and their integration in the ISO 26262 safety standard. We use a real-world case for demonstration of the process.

Keywords—Safety Element out of Context, ISO 26262, Reuse, Safety Contracts, Safety Argumentation.

I. INTRODUCTION

The basis for building modern safety-critical systems often lies in reusing existing components [2]. Most of these systems need to comply with a domain-specific safety standard that frequently requires a safety case in form of a clear and comprehensible argument supported by evidence to show why the system is acceptably safe. The safety standards typically do not provide detailed guidelines for releasing safety-relevant components and the accompanying artefacts, which makes the integration of the components and the provided evidence challenging [3]. For example, the automotive safety standard ISO 26262 [13] supports reuse through the notion of Safety Elements out of Context (SEooC), which are elements explicitly developed for reuse according to ISO 26262. While the standard provides requirements and recommendations on which information is needed for the integration of SEooC, guidance on performing systematic reuse by capturing and validating the context assumptions is missing.

Since safety is a system property, traditional safety analyses such as Fault Tree Analysis (FTA) and other safety artefacts (e.g., safety arguments) are made on the system level. Reusing such artefacts is difficult since what is safety relevant in one system is not necessarily safety relevant in another system. Furthermore, non-systematic reuse of safety artefacts has shown to be dangerous [14]. Hence, there is a need to fill the gap created by the safety standards’ lack of guidelines for systematic reuse and integration of safety components and their safety artefacts.

Systematic reuse of safety artefacts can be achieved by generative reuse, which indicates reuse of artefacts [6] (be it the code itself, results of a failure analysis such as FTA [15] or parts of safety arguments [11]) where a customised artefact is generated for a specific context from specification written in a domain specific specification language. For example, consider an out-of-context component with a pre-developed safety argument that is reused in a particular system. Such safety argument, produced out-of-context, might contain irrelevant information for the particular system. Instead of trying to reuse and integrate pre-developed safety arguments, the relevant information for the particular system is first identified from the provided artefacts, and then the corresponding system safety argument is generated from the identified information. In our work we use component safety contracts for capturing safety-related information and for identifying the relevant information about the component in a particular context. The context of a component can be for instance a specific system in which the component is used. The contracts provide a way to handle systematically the context assumptions related to the SEooC.

A contract is an assumption/guarantee pair, where a component offers guarantees about its own behaviour if the assumptions on its environment are met. Safety contracts are a specific types of contracts that deal specifically with component behaviours that are deemed relevant from the perspective of hazard analysis. In our previous work we showed how safety contracts can be used to support generative reuse of safety artefacts [19]. Since reusable components can exhibit different behaviours in different environments, contracts are characterised as either strong or weak to allow capturing these different behaviours in a more flexible manner [17]. Furthermore, since the safety contracts deal with some of the information used in the safety arguments, we can use the contracts to semi-automatically generate context-specific argument-fragments related to components [18].

In this paper we enrich the safety guidelines provided by ISO 26262 to include contract-specific activities and demonstrate how systematic reuse that aims at easing integration of safety-relevant components within ISO 26262 systems can be achieved. We first define the safety contracts development process and the corresponding contract-specific activities. Then we provide guidelines on how and when to use the contract-specific activities in the case of SEooC.

The current version of the ISO 26262 standard is aimed at passenger vehicles up to 3500 kilograms, while the next version of the standard is planned to also address heavy vehicles such as construction machines and trucks. Hence, heavy vehicle companies have already started preparing for the upcoming compliance to ISO 26262. To demonstrate the proposed process we use a product-line scenario composed
of two construction machines as a common real-world case. Both machines are equipped with lifting arms, whose software controller in both cases includes a component for automatic positioning of the arm in a predefined position. We develop this component as a SEooC and then reuse it within the two products. On this real-world case we demonstrate how safety contracts can be used for SEooC development. Moreover, we illustrate the benefit of generative reuse of safety arguments on the the SEooC integration within the two products.

The contributions of this work are (1) the guidelines in form of a safety contracts development process describing the role of the safety contracts within the development and integration of reusable components within safety-critical systems, (2) application of the guidelines to support development and integration of SEooC within ISO 26262 compliant system, and (3) its demonstration in a real-world case. In contrast to existing works that focus on facilitating reuse of safety artefacts within safety-critical systems [7], [9], [12], [16], we focus on detailing the guidelines for development and integration of reusable safety components within safety-critical systems via safety contracts. More specifically, we align the proposed process with ISO 26262 to facilitate generative reuse of safety artefacts, primarily safety arguments. We focus on providing means for capturing the SEooC assumptions recommended by the standard and support their validation during integration of the SEooC in an ISO 26262 compliant system. We assume that for the integration to work, both the SEooC and the target ISO 26262 system have safety contracts established.

The rest of the paper is structured as follows: In Section II we provide background information. We present the safety contracts development process and align it with the SEooC development process recommended by ISO 26262 in Section III. In Section IV we demonstrate the proposed process and the related guidelines on a real-world case. We provide discussion in Section V and related work in Section VI. Finally, conclusions and future work are presented in Section VII.

II. BACKGROUND

In this section we provide background information on the ISO 26262 safety process and the processes recommended for development and integration of Safety Elements out of Context. Furthermore, we provide essential information on the strong and weak safety contracts as well as graphical argumentation notation for representing safety arguments.

A. ISO 26262

ISO 26262 [13] has been developed as a guidance to provide assurance that any unreasonable residual risks due to malfunctioning of E/E systems have been avoided. The standard requires a safety case in form of a clear and comprehensible argument to show the completeness and satisfaction of the safety requirements allocated to an item by providing evidence generated during the system development. An item in ISO 26262 is composed of at least a sensor, controller and an actuator, which together implement a vehicle level function.

Central part of Fig. 1 shows the safety process of the ISO 26262 standard. The process starts with the Concept phase (Part 3 of the standard) that is initiated with the item definition activity where the main objective is to define and describe the item by capturing its dependencies on, and interactions with, its environment. In the subsequent activities of this phase the hazards related to the item are identified and classified according to Automotive Safety Integrity Levels (ASILs), safety goals are established and further refined into functional safety requirements that are allocated to the architectural elements.

In the first part of the Product development at system level phase, the technical safety requirements are derived from the functional safety concept, and the system is designed to comply with both the technical and functional safety requirements. Based on the system design, development and testing of both the hardware (HW) and software (SW) elements is performed. During Product development at HW/SW level (Parts 5&6 shown in Fig. 1) the corresponding HW/SW safety requirements are derived with consideration of environmental/operational constraints identified during the concept phase. The process continues with integration and testing of the HW/SW elements, followed by integration of elements that compose an item to form a complete system. The item is then integrated with other systems and tested on the vehicle level. Product development at system level is finalised with safety validation and an assurance case is presented to show that the safety goals are sufficient and that they have been achieved.

We include additional information on the concept and system design phases as they play an important role in reuse of safety elements. Based on the ISO 26262 development process, the information that needs to be gathered during the concept and system design phases includes the following: (1) purpose and functionality of the item, (2) operating modes and states of the item (including the configuration parameters), (3) law, regulation and standard requirements, (4) operational and environmental constraints, (5) interface definition, (6) hazard analysis results, including the known hazards, their ASILs and the associated safety goals.

To ease the development of ISO 26262 compliant systems, the standard acknowledges different reuse scenarios: (1) elements that have been developed for reuse according to ISO 26262 in form of SEooC, (2) pre-existing elements not necessarily developed for reuse or according to ISO 26262 that have to be qualified for integration, and (3) elements that qualify for reuse as proven-in-use. In this paper we focus on the SEooC reuse scenario.
SEooC can be an element used to compose an item, but it cannot be an item since item implements functions at vehicle level, while a reusable elements such as SEooC are not developed in the context of a particular vehicle. The development of SEooC follows the ISO 26262 safety process, but since SEooC is developed out-of-context, the information related to the system context (gathered during the concept and system design phases) first needs to be assumed. The assumptions are made to the functional safety concept as the main output of the concept phase and the external design (system-level assumptions: the interactions with, and dependencies on the elements in the environment are assumed). After assuming the relevant system design, the development of the SEooC follows the product development at SW/HW level.

B. Safety Contracts

In our previous work [17], we have proposed a contract-based formalism with strong \((A, G)\) and weak \((B, H)\) contracts to distinguish between context-specific properties and those that must hold for all contexts. A traditional component contract \(C = (A, G)\) is composed of assumptions \((A)\) on the environment of the component and guarantees \((G)\) that are offered by the component if the assumptions are met. The strong contracts composed of strong assumptions \((A)\) and strong guarantees \((G)\) allow for specification of properties that should hold in all systems of intended usage of the component, while the weak contracts composed of weak assumptions \((B)\) and weak guarantees \((H)\) allow for specification of properties that hold in a subset of systems of intended usage. For example, strong contracts can be used to prevent misuse of configuration parameters of the component by requiring parameters scope and guaranteeing interaction of the different parameters, while weak contracts could be used to describe distinct component behaviours achieved by the different configurable parameter values. The \textit{related contracts} of a contract \(C\) are those contracts that either assume the guaranteed properties of \(C\) or the ones that guarantee properties assumed by the contract \(C\).

If a system (in terms of hardware and software) is described with a set \(V\) of variables/properties that belong to all the components of the system, then assumptions for contracts of a component \(C\) within the system can be made on all variables from \(V\) that do not belong to the component \(C\). The assumptions of all the strong contracts of a component determine a set of environments/contexts in which the component can operate and exhibit different behaviours. The context of a component in terms of contracts is defined by the assumptions of the corresponding component contracts. When a component is being developed out-of-context of a particular system, then the possible system contexts in which the component can be used are constrained by the strong contract assumptions.

As introduced in Section I, we call a contract capturing safety-relevant behaviour a \textit{safety contract}. Despite the theoretical possibility of formalising all safety-relevant information, in practice it is not reasonable to capture all the safety-relevant information within formal contracts. Thus we recognise that safety contracts consist of both formal and informal assumptions and guarantees. In our previous work [19] we used the CHESS-toolset\(^1\) to demonstrate how the formal part of the contracts can be captured using the Failure Propagation and Transformation Calculus (FPTC), both implemented within the toolset.

The component meta-model (Fig. 2) that connects safety contracts with supporting evidence provides a base for evidence reuse together with the contracts [19]. The component meta-model specifies a component in an out-of-context setting, composed of safety-contracts, evidence and the assumed safety requirements. Each safety requirement is satisfied by at least one safety contract, and each contract can be supported by one or more evidence. This component meta-model is used as the basis for semi-automatic generation of safety case argument-fragments [18]. For example, if we assume that late output failure of the component can be hazardous, then we define an assumed safety requirement that specifies that late failure should be appropriately handled. This requirement is addressed by a contract that captures in its assumptions the identified properties that need to hold for the component to guarantee that the late failure is appropriately handled. The evidence that supports the contract includes the contract consistency report and analysis results used to derive the contract.

C. Overview of Goal Structuring Notation

We will use the Goal Structuring Notation (GSN) for specifying safety arguments. GSN [1] is a graphical argumentation notation that can be used to represent the individual elements (e.g., goals/claims, evidence, context) of any safety argument. More importantly, GSN can be used to capture the relationships that exist between the individual elements by using the two relationships \textit{inContext} and \textit{supportedBy}. The \textit{inContext} relationship connects claims with the contexts that are used as the clarifications of the related claims, while \textit{supportedBy} is used for connecting goals with its subgoals, backing up goals with evidence and specifying the decomposition strategies used to decompose a goal to a set of subgoals. \textit{Undeveloped goals} are those that are not further developed within the argument, while the goals to be developed later on are used to display an argument over several figures. Basic symbols of GSN used in this paper are shown in Fig. 3.

\footnote{http://www.chess-project.org/page/download}
III. ISO 26262 SAFETY PROCESS SUPPORTED BY SAFETY CONTRACTS DEVELOPMENT PROCESS

In this section we present the guidelines for using the strong and weak safety contracts for the development and integration of reusable safety-relevant elements within safety-critical systems. Moreover, we present how the guidelines can be used with the ISO 26262 SEooC notion. More specifically, we bring the guidelines in form of the safety contracts development process and the contract-specific activities, and detail how and when these activities can be aligned with the SEooC development.

A. Safety Contracts Development Process

As mentioned in Section I, the nature of safety being a system property and the dangers of non-systematic reuse hinder reuse of safety components within safety-critical systems. To alleviate these issues a clear process and guidelines on how to perform reuse should be provided to promote systematic reuse of safety components. To integrate the systematic reuse approach based on strong and weak safety contracts within a safety process, a safety contracts development process needs to be defined. We propose such a process divided into three phases: (1) Preliminary safety contracts, (2) Safety contracts production, and (3) Safety Contract utilisation and maintenance. The alignment of the safety contract and the SEooC development phases is shown in Fig. 4. While the first two safety contract phases support the two out-of-context phases of the SEooC development (Fig. 1), the third safety contract phase supports SEooC integration in context of a particular system. The first safety contract phase includes the capturing of SEooC assumptions. The second contract phase is performed together with the corresponding SEooC development phase, while the third contract phase includes support for integration of SEooC in a particular system. In the remainder of this subsection we provide more details about the corresponding contract-specific activities each phase is constituted of.

1) Preliminary Safety Contracts Phase: This phase should be performed before the development of the item/component for which the contracts are being established. The phase constitutes of the following contract-specific activities:

- Establishing strong and weak contracts: The strong contracts are established by considering behaviours such as nominal functional or safety mitigation behaviours not bound to context-specific configuration parameters. In contrast, weak contracts are established by considering behaviours bound to context-specific configuration parameters (e.g., accuracy of an algorithm may depend on the physical properties of the system in which it is used).
- Enriching assumptions with environmental/operational constrains: The different types of properties that should be captured by safety contracts include nominal functional behaviour, failure logic behaviour, resource usage behaviour and timing behaviour [9]. Upon establishing the strong and weak contracts, the contract assumptions need to be enriched to achieve sufficient level of completeness by including environmental properties such as platform properties, HW/SW interface and/or dependencies to other elements.
- Preliminary matching of contracts to (assumed) HW/SW safety requirements: As mentioned in Section II-B, the safety contracts should capture information needed to satisfy the safety requirements allocated to the corresponding safety component. For example, supporting each derived SW safety requirement allocated to a software component with at least one preliminary contract is the final goal in completing the set of the preliminary safety contracts. If the contract to satisfy a particular requirement has not been previously developed, a preliminary contract should be established with its guarantee reflecting the corresponding requirement.

2) Safety Contracts Production Phase: This phase should be performed during the component development stage and the following verification and validation activities on the component level. The phase constitutes of the following activities:

- Actualisation of the contracts with implementation-specific properties: Since not all information is fully known during the preliminary safety contracts phase, certain preliminary contracts (e.g., on resource usage) can only be captured with speculative targeted behaviour. After the component development stage, such contracts need to be finalised once the actual behaviour of the component (or a more accurate approximation) can be established. For example, when more accurate information about the actual accuracy of an algorithm, timing behaviour, or memory footprint of the component is available, then we can actualise the contracts capturing such behaviours with the actual implementation-specific values.
- Supporting contracts with evidence: The final step in producing the safety contracts for reuse is to support such contracts with the evidence supporting the information captured by the contracts. The evidence related to the contracts consistency is generated by checking whether the contracts are free of contradictions (e.g., strong and weak contracts of the component do not make any contradicting assumptions on the same property). The confidence in completeness of the information captured within the contracts can be for instance increased through the evidence from which the contract is derived. For example, in case that information captured within a safety contract is based on simulation or testing results, the corresponding guarantee of the contract should be based on the results while the assumptions should capture the environmental parameters under which the simulation/testing has been performed. The artefacts related to the simulation/testing are then
attached to the particular safety contract with a description in which way they are related. Further trustworthiness evidence can be attached to the artefacts [19]. Since each safety requirement is associated with an ASIL, which in turn influences the stringency of evidence that needs to be provided to assure that the particular requirement is satisfied, the achieved ASIL information is attached to the evidence rather than to the contracts themselves. In this way the safety requirements are connected to the achieved ASILs through the connection of the safety contracts with the associated evidence.

3) Utilisation and Maintenance Phase: This phase is performed in context of a particular system and the activities related to contract utilisation and maintenance can be performed at different stages of the system lifecycle. For instance, the safety contracts can be utilised for:

- Supporting architectural design of safety-critical systems [20].
- Selection of components based on the safety-relevant behaviours captured in the safety contracts [19].
- Integration of a component in an existing system, as one of the main roles of contracts is to promote independent development of components and their easier integration via contract-based verification [4].
- Contract-based safety assessment activities in form of contract-based artefacts generation. Contracts can be utilised for generation of different safety case artefacts such as safety case argument-fragments [18].

The supporting activity for the contract development and utilisation is contract maintenance. For example, in case of changes to the existing contracts, all contracts of the corresponding component should be revisited, while when updating contracts with additional assumptions, only contracts capturing the same type of behaviour (e.g., timing) should be reassessed. Modifications of a component or system design requires that all its contracts are reassessed and reestablished if required.

B. SEooC Development with Safety Contracts

SEooC development starts by capturing the system-level assumptions (Fig. 1). Simultaneously, the preliminary safety contracts phase is initiated, as described in the Section II-A. All relevant assumed properties should be covered by the established preliminary contract assumptions. Once the HW/SW safety requirements are derived, each requirement is associated with at least one contract such that the behaviour achieved by the associated contracts satisfies the required behaviour by the corresponding requirement. After the safety contracts are established and associated with the safety requirements, the safety contract production phase and the corresponding ISO 26262 product development at HW/SW level are continued to develop the SEooC and its safety contracts. At this point the development of the SEooC out-of-context is completed.

Once the SEooC is used in a particular system (in-context), the assumed requirements are compared and matched (e.g., manually) to the actual safety requirements allocated to the component, and contracts are used to verify that the assumptions captured during the SEooC development are satisfied (provided that the contracts are established for the rest of the system). The contract production phase continues in-context to capture the behaviours of the SEooC that could not be established out-of-context. In case of assumptions mismatch, ISO 26262 impact analysis can be assisted by the contract maintenance activity. Once all the relevant safety contracts are satisfied for the reused SEooC, an argument for the component is generated to show the satisfaction of the safety requirements through the satisfaction of the associated safety contracts [18].

IV. REAL-WORLD CASE

In this section we apply the guidelines introduced in Section III on a product-line scenario commonly found in industry. The aim of the case is to develop a component out of context of a particular product and reuse the component and its accompanying artefacts in two different products of a product-line. This can be challenging even for two similar products such as those in a product-line since the hazards related to the products can differ, as discussed in Section I. The SEooC we develop is a Lifting Arm Automatic Positioning (LAAP) component commonly used within wheel-loaders? heavy equipment machines used in construction to move material or load material into/onto other types of machinery such as trucks. We first present the LAAP and its SEooC development, and then we discuss the LAAP integration within two different products of a wheel-loader product-line.

A. SEooC definition and development

As discussed in Section III-B, the development of a SEooC starts by making assumptions on the item in which the component is intended to be used. The assumed structure of the lifting arm unit context for a wheel-loader is shown in Fig. 5. Wheel loaders are equipped with a lifting arm, which can perform up and down movements that are directly controlled by a hydraulic controller. The operator controls of interest for the development of the LAAP consist of a control lever that is used to lift/lower the arm and an automatic position request button that positions the arm in a predefined position. Once the automatic positioning is started, it can be stopped by moving the control lever and switching automatically to manual mode. Besides the operator controls, the LAAP uses an arm angle sensor to determine the current arm position, recorded position to which the arm should be moved and the ground speed of the vehicle for tracking the vehicle movements. The assumptions include only information deemed relevant to the SEooC development, hence the full interface of the arm controller is not assumed at this stage.

Before specifying the assumed software safety requirements that the LAAP will implement, we need to assume safety implications of the component and its relation to possible hazards. We identified contributions of LAAP to two possible vehicle-level hazards: (H1) unintended movement of the lifting
arm, and (H2) hydraulic leakage. We consider the hazards in the following operational situations:

- high speed (the vehicle is moving with varying speeds that can go up to the maximum available speed)
- short cycle (a combination of load lifting and low speed transportation)
- load and carry (the vehicle is moving with varying ground speed with the bucket fully loaded)

Hazard H1 can be dangerous during high speed due to e.g., heavy traffic when driving on a public road, during the short cycle and load and carry phases it can be dangerous for bystanders present in the area while high precision movement is required from the machine. LAAP can contribute to hazard H1 by e.g., value failure of the flow command that can be caused by value failures of the angle sensor and the recorded position variable. Furthermore, the unintended arm movement can occur in case of omission of the autoPositionReq signal. Omission or late failure of the control lever signal can cause LAAP to continue its operation when not intended.

High-pressure hydraulic leakage could produce a highly flammable oil/air mixture spray mist that might ignite in contact with hot surface, hence the leakage should be identified as soon as possible. One way in which LAAP can contribute to this situation is when the LAAP starts operating but due to the leakage the arm either never reaches the recorded position or it moves much slower than usual, which contributes to increasing the leakage. The occurrence of the hazard H2 in either of the operational situations can be danger to the driver, other participants in traffic and bystanders present in the area. Table I presents the software safety requirements derived from the assumed functional safety concept that address the possible hazardous events related to both hazards.

The strong and weak contracts of the LAAP, initially captured during the Preliminary Safety Contracts phase to address the SW safety requirements, are shown in Table II. The strong contract LAAP-1 requires that the groundSpeedLimit is set below 20km/h and guarantees that LAAP will be disabled when the ground speed of the vehicle is greater than the groundSpeedLimit parameter. Disabling of the LAAP is the safe state achieved by setting the active flag to false and the flow value to 0.

The strong contract LAAP-2 assumes the correct input

| TABLE I. SW SAFETY REQUIREMENTS |
|------------------------------|-----------------|
| SWSR1 | Safe state shall be applied during high-speed | ASIL B |
| SWSR2 | The stop position of the arm shall not deviate more than ± 0.04 rad | ASIL B |
| SWSR3 | Safe state shall be applied if erroneous input (ground speed, angle sensor, control lever or recorded position) is detected | ASIL B |
| SWSR4 | Safe state shall be applied if the operational time of the LAAP is taking more than the maximum raise time of the lifting arm | ASIL A |
| SWSR5 | LAAP shall not start inadvertently | ASIL B |
| SWSR6 | Safe state shall be applied when manual arm movement is in progress (i.e., when control lever value not 0) | ASIL B |

| TABLE II. LAAP SAFETY CONTRACTS |
|-------------------------------|------------------|
| A<sub>LAAP-1</sub> | groundSpeedLimit within [0, 20] km/h; |
| G<sub>LAAP-1</sub> | groundSpeed > groundSpeedLimit implies (active = false and flow = 0); |
| A<sub>LAAP-2</sub> | controlLever within ± 1 rad; |
| G<sub>LAAP-2</sub> | (groundSpeed not within [0, 200] km/h OR angleSensor not within [0, 3] rad OR controlLever not 0 rad OR recordedPosition not within [0, 3] rad;) implies (active = false and flow = 0); |
| A<sub>LAAP-3</sub> | watchdogTimerInterval within [raiseTime, 1.2*raiseTime] AND raiseTime > 0; |
| G<sub>LAAP-3</sub> | (not (active = false and flow = 0) implies watchdogTimer start) AND (LAAP-OperationalTime > watchdogTimerInterval implies (active = false and flow = 0 and watchdogTimer reset)); |
| B<sub>LAAP-4</sub> | not angleSensor.valueFailure AND not recordedPosition.valueFailure; |
| H<sub>LAAP-4</sub> | not flow.valueFailure; |
| B<sub>LAAP-5</sub> | not autoPositionReq.comission AND not controlLever.comission; |
| H<sub>LAAP-5</sub> | not flow.comission AND not active.comission; |
| B<sub>LAAP-6</sub> | angleSensor accuracy is 0.02 rad AND actuation deviation is within ±0.01 rad AND recordedPosition does not introduce deviation; |
| H<sub>LAAP-6</sub> | flow accuracy is 0.01 rad implies stop position is within ±0.04 rad from the recordedPosition; |

| TABLE III. SW SAFETY REQUIREMENTS AND SAFETY CONTRACTS MAPPING |
|----------------|---------------------|
| SWSR1 | LAAP-1 |
| SWSR2 | LAAP-4, LAAP-6 |
| SWSR3 | LAAP-2 |
| SWSR4 | LAAP-3 |
| SWSR5 | LAAP-5 |
| SWSR6 | LAAP-2, LAAP-3 |

value range for the controlLever signal and guarantees that the safe state shall be applied when inputs other than controlLever are out of bounds. Moreover, since the LAAP component can be active only when the control lever is inactive (i.e., when controlLever is 0), the LAAP-2 contract also guarantees that the safe state shall be applied when controlLever is different from 0.

The strong contract LAAP-3 describes a SW watchdog timer implemented as a part of the component that disables LAAP if its operation time is longer than expected. To detect possible hydraulic leakage, the timer is set within the interval bound by raiseTime parameter (the maximum lifting time of the arm under full load from lowest to highest position).

The weak contracts LAAP-4 and LAAP-5 capture failure propagation behaviour of the LAAP such that they state which conditions should the environment of the LAAP fulfil to mitigate a potentially hazardous failure propagation. The LAAP-4 contract specifies that in order to avoid the flow command value failure, the environment of the LAAP should guarantee that the angle sensor signal and recorded position value do not exhibit value failure. The LAAP-5 contract contract specifies that in order to mitigate inadvertent commands sent from the LAAP (in form of commission failures of the flow and active
output ports), the environment should ensure that commission of the autoPositionReq signal and omission of the controlLever signal do not occur.

The weak contract LAAP-6 relates the guaranteed flow accuracy and the lifting arm stop position based on the assumptions on the accuracy of the angle sensor, recorded position and the actuation.

The matching of the established contracts and the SW safety requirements is presented in Table III. The contract LAAP-4 is not fully addressing the requirement SWSR2, since it only establishes that the accuracy of the flow command is dependent on the accuracy of the angle sensor and the recorded position value. Hence a more concrete contract LAAP-6 is established to fully address the requirement SWSR2. During the Safety Contracts Production phase, the contract LAAP-6 is updated with the actual accuracy of the flow command.

As mentioned in Section III, the SW safety requirements addressed by the safety contracts are supported with evidence through the connection of the contracts and the supporting evidence. Since requirements are categorised with ASILs, the stringency of the evidence supporting the contracts should be appropriate for the corresponding integrity level. Since the assumed requirements are associated with at most ASIL B, to support the contracts associated with the requirements we use inspection and testing as verification means recommended by ISO 26262 for the specified ASILs. The context statements that provide clarifications of the contracts and the supporting evidence attached during Safety Contract Production phase are shown in Table IV. The context statements are denoted with LAAP-x_Cy and evidence with LAAP-x_Cy, where x is the number of the related contract and y the number of the evidence/context statement.

B. SEooC Integration

The two products in which we reuse the developed SEooC are a part of the same wheel-loader product line. First product is a Gigant Wheel-loader (GWL) used within closed construction sites. Due to its size, both the GWL itself and its arm move slower than other machines. Time needed to raise the arm under full load from minimum to maximum position is around 10 seconds. The second product is a Small Wheel-loader (SWL) used for less intensive tasks and often outside of construction sites (e.g., public service). It is more compact than GWL and it has two times faster lifting arm raise time.

Due to the differences between the two products, what is hazardous in one product is not necessarily hazardous in the other. Since the GWL is used in a controlled environment and its tasks do not require high precision, the value failure of the LAAPs’ flow port is not considered hazardous in that case. Hence, the requirement SWSR2 is not considered safety-relevant in context of the GWL, but is regarded as quality management. Moreover, the weak contracts LAAP-4 and LAAP-6 are not satisfied in the context of GWL, as integrity of the sensor data and recorded position is not ensured for the LAAP-4 contract, and the assumption on actuation accuracy for the LAAP-6 contract.

In contrast to the GWL, the SWL is used in less controlled environments for tasks that usually require precision where LAAP accuracy is much more critical. Besides a higher quality angle sensor to ensure high confidence in sufficient accuracy of the angleSensor input to the LAAP, an error-detecting code is used to ensure that the stored recordedPosition has not been accidentally changed (e.g., due to bit flip). Contracts of the corresponding components guarantee these properties of the angle sensor and the recordedPosition variable which satisfies the contract LAAP-6, while the contract LAAP-4 is not satisfied in the SWL system as it would be too expensive to achieve it.

Since the strong contract LAAP-1 requires ground-SpeedLimit to be set in every vehicle below 20 km/h, both products must set the appropriate values. In the GWL the limit is 20 km/h, since the arm moves slower and in a controlled environment, while the limit is 10 km/h for the SWL.

Once the reused contracts are checked and new contracts established during the Utilisation and Maintenance phase, we
utilise the contracts for the generation of safety argument-fragments. Based on the satisfied contracts we can identify safety artefacts related to such contracts (e.g., test cases) that can be useful in the current context.

C. Generated Safety Arguments

Fig. 6 shows the top level goals of the LAAP safety argument for the two systems. To support the top-level goal that the component satisfies the allocated safety requirements, we decompose the top-level goal to argue over the following: the LAAP strong contracts are satisfied, all satisfied contracts are consistent, and the relevant weak contracts are satisfied. As all strong contracts must be satisfied in both contexts, the argument related to the strong contract satisfaction (Fig. 6) is the same for both cases. For the sake of brevity, the goals related to the satisfaction of the LAAP-2 strong contract and the SWSR3 safety requirement are left undeveloped.

The top level goals are further decomposed to argue over satisfaction of each allocated safety requirement. As discussed in Section IV-B, some of the contracts are not satisfied in the GWL and in the same time some of the requirements are discarded as quality management, hence not included in the LAAP safety argument in context of GWL. SWSR2 and SWSR4 are not included in the GWL safety argument (Fig. 8), while for the SWL, all six requirements are included in the corresponding argument (Fig. 7).

As most of the requirements are addressed by the strong contracts that are argued in a separate argument branch, the away goals are used to relate to those arguments, while the weak contracts that are used to support a requirement for the first time in the argument are further developed (e.g., the contracts LAAP-5 and LAAP-6 for requirements SWSR2 and SWSR5). Establishing that the safety contracts are sufficient to support a certain requirement is done by inspection.

V. DISCUSSION

As described in Section II-A, ISO 26262 requires certain information to be gathered during the concept phase. The standard states that software safety requirements should consider this information. In case of SEooC, this information should be assumed out-of-context and validated in-context. In the case of other reusable elements such as qualified software elements, this information should be made available and validated prior to the integration of the element into an ISO 26262 compliant system. The guidelines provided by the standard do not go into further detail but stop at the message that this information should be considered, assumed and validated. As described in Section III and demonstrated in Section IV, the generative reuse approach based on safety contracts provides means to assume, consider and validate this information. When developing SEooC, the required information is assumed within safety contracts, by associating these contracts with SW safety requirements, the requirements are related and consider this information. Upon integration of a reusable component together with its safety contracts, the assumed information or information that should be made available is validated by checking that the reused safety contracts assumptions are satisfied in the particular system.

Reusing safety artefacts requires that variability within them is managed. A PLE-based approach shows how variability can be integrated into the functional safety models by combining functional safety and variability modelling tools [16]. Another approach focuses on Trusted Product Lines by forming a framework for demonstrating that the derived products are fit for purpose in high-integrity civil airspace systems [12]. This work aligns PLE with civil airspace safety standard recommendations on development and integration of reusable elements. A model-based assurance approach focuses on facilitating reuse of safety assets within a product-line by extending the argumentation notation to include product-line elements to handle variabilities within the argument [10]. Another model-based approach [11] is proposed for standardising the representation of the assurance cases by generating them from automatically extracted information from the system design, analysis and development models.

An approach that distinguishes between component types as out-of-context components and component implementations as in-context instantiations of the component types explores use of assume/guarantee contracts to facilitate reuse [9]. The work provides an incremental certification lifecycle for CBSE and outlines the role of contracts in the proposed lifecycle.

As demonstrated in Section IV-B, what is safety relevant in one system can sometimes be regarded as quality management in another system. This is the main reason why reusing safety artefacts (such as product-based safety argument-fragments) first needs a phase of identifying what is relevant. This is supported by the safety contracts, and after identification the relevant information can be composed and the artefact reused. In the scope of our work we use the safety contracts to generate safety case argument-fragments, while there is potential to use the contracts to generate different types of safety analyses (e.g., FTA) [8] through the connection of the safety contracts with the FPTC analysis [19]. The generation of the specific safety argument-fragments is still semi-automatically performed since the integrator needs to align the assumed with the actual safety requirements. Although methods could be developed to ease the matching of the safety requirements and the associated contracts, and matching assumed and actual safety requirements, the step towards developing such methods would be formalisation of the requirements, which faces different challenges [5]. Safety contracts share some of these challenges as well. Hence we recognise the need for capturing both formal and informal aspects in the safety contracts. While the formally specified parts of the assumptions and guarantees are used for both contract-based verification and argument-fragment generation, the informal parts are only used for the arguments generation where they can be further reviewed manually.

VI. RELATED WORK

The ISO 26262 lack of detailed guidelines for systematic reuse has triggered researchers to align different reuse engineering methods with the standard, e.g., Product-line Engineering (PLE) and Component Based Software Engineering (CBSE). PLE can be aligned with the ISO 26262 to facilitate reuse of artefacts [7]. The proposed approach provides means to specify, manage and trace commonalities and variabilities at different parts of the ISO 26262 safety process.
In contrast to these works we focus on providing guidelines for development and integration of reusable safety components within safety-critical systems. Moreover, we focus on the automotive industry by aligning the provided guidelines with the ISO 26262 safety process. More specifically, we support generative reuse of safety argument-fragments by utilizing the efforts invested in capturing safety rationale within the safety contracts. To the best of our knowledge the contribution of our work is in this respect novel and unique.

VII. Conclusion and Future Work

Safety standards, particularly ISO 26262, lack support for reuse and integration of safety-relevant components, although modern safety-critical systems highly rely on reuse. In this paper we have presented a safety contracts development process that bases reuse of safety components around the notion of safety contracts. We have by a real-world case shown that the safety contracts can be successfully used to support reuse and integration of safety elements out-of-context. Moreover, safety contracts provide a platform for generative reuse of safety artefacts by facilitating generation of safety case argument-fragments and potentially other safety analyses.

Construction machines typically come in many different variants that are developed to operate in significantly different environments. As a lesson learned from the application of ISO 26262 to such systems, we can conclude that the issue of context and reuse of safety elements out-of-context plays a significant role in these systems and requires improved support from the standard.

As future work we plan to further develop the guidance for development and integration of reusable safety-relevant components using safety contracts according to the automotive
and the other domain-specific safety standards. Furthermore, we plan to utilise the safety contracts to provide support for generation of process-based arguments. While currently only partially supported by the CHESS-toolset, we plan to continue extension of the tool and further optimisations of the implemented contract formalism.

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