# FastStereoNet: A Fast Neural Architecture Search for Improving the Inference of Disparity Estimation on Resource-Limited Platforms

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Abstract—Convolutional Neural Networks (CNNs) provide the best accuracy for disparity estimation. However, CNNs are computationally expensive, making them unfavorable for resourcelimited devices with real-time constraints. Recent advances in Neural Architectures Search (NAS) promise opportunities in automated optimization for disparity estimation [1], [2]. However, the main challenge of the NAS methods is the significant amount of computing time to explore a vast search space (e.g.,  $1.6 \times 10^{29}$  [3]) and costly training candidates. To reduce the NAS computational demand, many proxy-based NAS methods have been proposed. Despite their success, most of them are designed for comparatively small-scale learning tasks. In this paper, we propose a fast NAS method, called FastStereoNet, to enable resource-aware NAS within an intractably large search space. FastStereoNet automatically searches for hardware-friendly CNN architectures based on Late Acceptance Hill Climbing (LAHC), followed by Simulated Annealing (SA). FastStereoNet also employs a fine-tuning with transferred weights mechanism to improve the convergence of the search process. Collection of these ideas provides competitive results in terms of search time and strikes a balance between accuracy and efficiency. Compared to the state-of-the-art [1], FastStereoNet provides  $5.25 \times$  reduction in search time and  $44.4 \times$  reduction in model size. This benefits are attained while yielding a comparable accuracy that enables seamless deployment of disparity estimation on resource-limited devices. Finally, FastStereoNet significantly improves the perception quality of disparity estimation deployed on FPGA and Intel® NCS2 accelerator in a significantly less onerous manner.

Index Terms—Machine Vision, Disparity Estimation, Optimization, Neural Architecture Search, Transfer Learning

# I. INTRODUCTION

**D** ISPARITY estimation is the problem of finding corresponding pixels in multiple images of a scene from different viewpoints. Disparity estimation is a key task in

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In cyber-physical systems, as embedded vessels of computation, Field Programmable Gate Arrays (FPGAs) represent a power efficient alternative to GPUs while offering versatility over Application-Specific Integrated Circuits (ASICs). However, FPGAs in embedded systems are often resource constrained to meet the power envelop. This poses a significant challenge for the FPGA implementation of CNN-based disparity estimation, while they have traditionally been used to realize conventional methods of disparity estimation (Section II-A). However, the accuracy of the conventional methods is not satisfactory in comparison with CNN-based techniques [11], [14], [15], [16]. Intel<sup>®</sup> Neural Compute Stick 2 (NCS2) is another embedded accelerator providing rapid development, supporting low-power embedded applications, and operating without cloud compute dependence. However, limited processing resources (on-chip memory<500MB) prevent their usage in implementing CNN-based disparity estimation.

Neural Architecture Search (NAS) research has shown significant progress in enabling resource-efficient neural architectures [17], [18], [19], [20], [21]. NAS is the process of automatically optimizing a neural network architecture. However, a large majority of NAS methods suffer from significant computational time [22] due to *directly* searching an exponentially large design space. As such, some recent NAS methods customize the search space by stacking copies of pre-trained neural cells [1], [23], [22], where each cell is usually welloptimized for proxy tasks. Although this technique decreases the search time, it might not be optimal for new unseen tasks.

In this paper, we propose FastStereoNet, a fast-and-efficient but straightforward multi-stage optimization method that directly explores a large search space for the disparity estimation task. FastStereoNet utilizes an ordered sequence of Late Acceptance Hill Climbing (LAHC) and Simulated Annealing (SA) as the optimization stages. The reason why the proposed search method is substantially fast comes from the single solution based nature of SA, while for example, the genetic algorithm is relatively slow due to their multisample population-based evolutionary strategy (Appendix B). The convergence of the SA algorithm is highly sensitive to its initial candidate that is usually selected randomly. Therefore, we employed LAHC as the first stage of the optimization to provide initial candidates for SA and as such speedup the SA with a better initial condition. FastStereoNet considers accuracy and estimated inference time as the search objectives to design resource-efficient neural architectures with acceptable accuracy. Importantly, FastStereoNet uses a latency predictor to improve the overall speed of the neural architecture search. The experimental results (Appendix G) show that the  $R^2$  score of the FastStereoNet latency predictor for GPU, FPGA, and Intel<sup>®</sup> NCS2 is 0.998, 0.98, and 0.97, respectively. We also considered the number of floating-point operations (FLOPs) to verify the search efficiency of the latency predictor. The correlation between FLOPs and measured GPU latency for the disparity estimation task is 0.68.

FastStereoNet leverages a discrete design space, represented as a Directed Acyclic Graph (DAG), while its vertices are the computational blocks of the CNN and the edges are the skip connections (Section III). The utilized discrete design space provides diverse architectures competitive with cellbased neural architecture design spaces. Inspired by [24], we use Siamese neural architecture as the backbone of the disparity estimation. FastStereoNet also utilizes a fine-tuning module for weight transfer across networks to improve the accuracy of candidates during the search steps (Section III-C). This paper makes the following contributions.

- We introduce a multi-objective Neural Architecture Search algorithm that combines Late Acceptance Hill Climbing followed by Simulated Annealing.
- We devise a latency predictor to accurately estimate the inference time of the candidate neural architectures on a range of target devices.
- We integrate a transferred weights mechanism that reuses the weights while training the candidate neural architectures to expedite the overall search.
- We extend the original LAHC and SA algorithms to guarantee a deterministic termination condition.

According to our experiments on KITTI 2015 dataset [25], we achieved  $2\times$  reduction in FPGA latency while yielding higher accuracy compared to state-of-the-art. Furthermore, the design time to find the CNN architecture is only 8 GPU days compared to 42 GPU days. This is, FastStereoNet requires  $5.25\times$  less search time to find the most accurate architecture for disparity estimation reported by AutoDispNet [1]. Out of all leading computation devices, Intel<sup>®</sup> NCS2 device is an emerging platform for accelerating CNNs on resource-limited embedded systems. To the best of our knowledge, FastStereoNet is the first method making the real-time implementation of accurate CNN-based disparity estimation on Intel<sup>®</sup> NCS2 [26] possible (Section VI-A) by reducing the size of memory footprint and using primitive CNN operations.

#### II. RELATED WORK

To the best of our knowledge, FastStereoNet is the fist global search (macro NAS) method that yields competitive results compared to cell-based methods (micro NAS) in terms of search cost while meeting the resource constraints of the target embedded devices. To this end, we first present the potential resource-limited devices for accelerating disparity estimation. Then, we review the recent research advances in Neural Architecture Search (NAS).

## A. Disparity Estimation on Resource-limited devices

Field Programmable Gate Array (FPGA). FPGAs are popular platforms for embedded devices that are successfully adopted for conventional disparity estimation methods with real-time constraints [14], [11], [15], [27]. Table VII compares the performance of different triangulation-based methods implemented on FPGA (Section VI-G). Although the inference time of the FastStereoNet on FPGA is still less than some of the triangulation-based methods, FastStereoNet is the first attempt that remarkably reduces the existing performance gap and delivering a higher accuracy by optimizing CNN architecture.

Intel<sup>®</sup> NCS2 accelerator. Intel<sup>®</sup> NCS2 is another embedded accelerator providing rapid development, supporting lowpower embedded applications, and operating without cloud compute dependence. To the best of our knowledge, Fast-StereoNet is the first successful implementation of accurate disparity estimation on Intel<sup>®</sup> NCS2 (Section VI-A).

## B. Neural Architecture Search (NAS)

Inspired by [28], we first categorize NAS methods as *macro* NAS and *micro* NAS. We review the prior works that utilize proxy tasks to improve NAS efficiency since dealing with the time-consuming evaluation and huge search space are the main challenges in NAS [23], [29]. Since we utilize a discrete search space, we review substantial research studies in this area.

1) Macro NAS: Macro NAS methods try to directly design the entire neural network architecture from scratch [30], [31], [32], [21], [33]. In other words, NAS finds an optimal architecture within a huge search space with the granularity of operations. It provides a high flexibility search space. However, the larger search space come at the cost of higher search cost.

Reinforcement learning (RL) is a popular approach for generating neural architectures [32], [21], [3], [30]. [32] proposed an LSTM-based controller to configure the CNN descriptions, then trains this LSTM with RL to maximize the classification accuracy. [3] proposes ENAS, a fast and efficient NAS trying to search an optimal sub-graph within a large computational graph by employing a meta-controller. In macro NAS, the size of search space exponentially grows with the increasing depth of a network [30], [32], e.g., a network with less than 12 possible result in  $1.6 \times 10^{29}$  distinct architectures [3]. It is not feasible to efficiently search such a large space in a reasonable amount of time (requiring thousand GPU hours). [3], [30], [32] prune the search space by limiting the depth of CNNs leading to lower accuracy [28]. In contrast, FastStereoNet can directly search a vast search space in a reasonable amount of time.

Alternatively, a group of research studies rely on the evolutionary search methods where the best architecture is designed by iteratively refining a population of candidate architectures [34], [35], [36], [23], [37], [38]. However, these methods are costly, requiring hundreds of GPU days. FastStereoNet cuts down the search time to find optimal solutions despite large design spaces. DenseDisp [2] is a simulated annealingbased search method. Compared to DenseDisp, FastStereoNet provides more accurate results by utilizing a more complex space and a latency prediction model (Section VI-A).

2) Micro NAS: Micro NAS methods search the inner architecture of learning cells, while the interconnection among neural cells is defined by stacking several copies of the discovered cells [23], [3], [39], [29], [1], [28]. Although *micro NAS* methods decrease the search time, they might not be optimal for any unseen tasks since each cell is targeted to proxy tasks.

NASNet [29] is a popular micro NAS that searches neural cells on a small dataset, then transfer the discovered cells to a larger dataset. To search neural cells, Real et al. [23] proposed an evolutionary algorithm with modified tournament selection. [28] proposes GDAS, a gradient-based search using a learnable differentiable architecture sampler to avoid considering all the possible architectures in search space. Although the micro NAS employs an efficient search space to design the entire network, they are mainly optimized for comparatively smallscale datasets, e.g., CIFAR-10 dataset [29], [3], which do not guarantee optimal performance with large-scale datasets [1], [21]. Saikia et al. [1] proposes AutoDispNet, an efficient NAS for large-scale encoder-decoder architecture. FastStereoNet provides a more efficient search strategy because it is roughly  $5.25 \times$  faster than AutoDispNet while searching a larger space. Also, FastStereoNet provides better latency-error trade-off by providing approximately 45.5× higher Network Information Density  $(NID = \frac{Accuracy}{NetworkParameters})$ . All in all, EastStereoNet maximized data and the second se FastStereoNet maximizes design flexibility by enabling search at the granularity of primitive operations by adopting insights and methods from macro NAS.

3) Improving NAS Efficiency: To improve NAS speed and to reduce the NAS computational cost, a variety of techniques have been proposed to utilize proxy tasks [40]. HyperNet generates weights for candidate networks and evaluates them without full training from scratch [31]. [17], [18], [41] use partial training for accuracy prediction at the cost of noisy evaluations. Sharing weights among potential networks decreases the search time by two orders of magnitude [3]. [30], [42], [19], [43] use network to network transformation for reusing weights of previously discovered networks to amortize the training cost. In this work, we employed a transferred weights mechanism (Section III-C) to expedite the search (Section VI-D).

#### **III. ARCHITECTURE DESIGN SPACE**

In this section, we first present the overview of a Siamese neural architecture. Then, we describe the genotype encoding that represents the architecture of each candidate.



Fig. 1: The overview of the Siamese network architecture.

#### A. Siamese Network Architecture

In general, Siamese neural network is a learning model that tries to learn the similarity of its input images, instead of direct classification. Siamese neural networks contain two (or more) identical sub-networks,  $N_W(X)$  parameterized by the same weight W, to extract the similar features between two input data  $(X_1, X_2)$ . The objective of the training for Siamese networks is to find W such that the the Euclidean distance  $d(X_1, X_2) = |N_W(X_1) - N_W(X_2)|$  is minimized for pair of similar images. We used a flexible Siamese neural network as the backbone architecture for disparity estimation, where each sub-network processes the left or right image (Fig. 1). While the use of the Siamese network in this paper is similar to [24], there is a significant difference. Instead of using hand-crafted design, we leveraged neural architecture search to automatically find an efficient sub-network  $(N_W(X))$ . At the end of the Siamese network, we placed an light-weight inner-product layer to join  $N_W(X_1)$  and  $N_W(X_2)$  leading to faster inference speed.

**Training.** In this paper, the image pairs are assumed to be rectified. Therefore the horizontal image axis is aligned with the epipolar lines. To train the network, [24] uses small patches sequentially extracted at random from the dataset for which ground-truth is available (managed by data handler). This strategy improves the diversity of examples and provides higher memory efficiency. While the size of the left image patch is equal to the network's receptive field, a larger patch is used for the right image. The features extracted by the aforementioned sub-networks are fed into the inner-product layer to compute the score of each disparity range (typically 128 or 256). Assuming  $(x_i, y_i)$  is the image coordinates of the center of the patch extracted from the left image, and  $d_{x_i,y_i}$  is the corresponding ground-truth disparity, we minimize the cross-entropy loss:

$$\min_{W} \sum_{i,y_i} p_{gt}(y_i) \log p_i(y_i, W) \tag{1}$$

We are interested in the 3-pixel error metric as the default disparity comparison measurement. Thus, we utilize a smooth target distribution  $p_{gt}(y_i)$  that is centered around the ground-truth  $(y_i)^{GT}$ . For example,

$$p_{gt}(yi) = \begin{cases} \lambda_1 & if y_i = y_i^{GT} \\ \lambda_2 & if |y_i - y_i^{GT}| = 1 \\ \lambda_3 & if |y_i - y_i^{GT}| = 2 \\ 0 & otherwise \end{cases}$$
(2)

where we select  $\lambda_1 = 0.5$ ,  $\lambda_2 = 0.2$ , and  $\lambda_3 = 0.05$ . The aim is to minimize cross-entropy for classification.

#### B. Representation of CNN Architectures

We represent the design space by using a single Directed Acyclic Graphs (DAG). Fig. 2 shows an example of a DAG, where a CNN architecture is a genotype directly encoded by two concurrent Lists, *List A* and *List B*, containing computational nodes [18]. The advantage of this representation is its flexibility, supporting variable-length architectures with arbitrary skip connections.



Fig. 2: A generic example of a genotype representing a CNN architecture in the design space.

Let us assume List A and List B have N and M columns, respectively. In this representation, the genotype is a flexiblelength representation, where the size of N and M varies during network evolution. Inspired by [18], we define twentysix types of node operations including summation, batch normalization, and variegated combinations of 2D convolution (Conv2d) settings as summarized in Table I. In this paper, we do not use the Pooling layer since the size of feature maps in each dimension should be odd. Importantly, we only used the primitive operations to support a wider range of devices, e.g., Intel<sup>®</sup> NCS2 does not execute the TensorFlow RandomUniform operation or separable convolution layer at the time of submitting the paper. The summation function adds two feature maps in an element-wise manner. If the input feature maps of summation node have different sizes of width and height, we resize the bigger image to match the small image by using image.resize\_with\_crop\_or\_pad function in TensorFlow [44]. To compensate the information loss from resizing, we apply a convolution layer connected to the batch normalization on the resized feature map before it is fed into the summation node. In the case of having a different number of channels, we pad the smaller feature maps with zeros. While adding the edges between the nodes, some nodes become isolated (Nod#6 in Fig. 2). We consider them as passive nodes and ignore them during the training.

TABLE I: The specification of valid node operations supported by all commodity devices.

| Node Operation          |             | Value                                    |
|-------------------------|-------------|--|
|                         | Parameter   |  |
|                         | padding     | {Same, Valid}                            |
| 2D convolution (Conv2d) | filter size | {32, 64}                                 |
|                         | kernel size | $\{3 \times 3, 5 \times 5, 7 \times 7,$  |
|                         |             | $9 \times 9, 11 \times 11, 13 \times 13$ |
| Summation               |             | -  |
| Batch Normalization     |             | -  |

**Network Construction Procedure.** Here, we briefly describe the *LEGO-like stacking procedure*. First, we fill both *List A* and *List B* with random operations selected from Table I. The initial size of N and M is 10 in our experiments. Next, we connect the  $j^{th}$  node to the  $(j - 1)^{th}$  node in the same List. However, if  $j^{th}$  node in a list is a summation node, we connect the  $(j - 1)^{th}$  node of both lists as the summation requires two inputs (*Nod#7* in Fig. 2). The output feature map of the summation node will be connected to a copy of the same summation node in the other List (*Nod#4* in Fig. 2) and the next node in the same List. We connect the summation of output feature maps received from *List A* and *List B* to the output node (*Nod#9* in Fig. 2). FastStereoNet supports two types of mutation operations: swapping of two random nodes, and replacing a node operation with another valid operation.

The size of design space depends on the length of *List A* and *List B* in the genotype. The minimum size of the design space is  $2 \times 24^{10}$  since both *List A*, and *List B* consist of 10 nodes at the beginning of the search. However, the length of these two Lists may increase after mutation. For example, during the mutation, a mutated Conv2d with *Valid* padding must be replaced by two new Conv2d operations with *Valid* padding such that the old Conv2d kernel size is equal to the summation of the two new Conv2d kernel sizes minus one. We limit the maximum size of each list to 30. Therefore, the maximum size of the design space is  $2 \times 24^{30}$ .

#### C. Efficient NAS by Transferred Weights Mechanism

In each iteration, we evaluate each network candidate by fully training from scratch. Due to the enormous training cost (up to 2 GPU hours/network), iterative search approaches would be impractical. Therefore, to accelerate the evaluations, we propose a transferred weights mechanism that fine-tunes the training of the mutated architecture (child) by reusing the information stored on the previous architecture (parent). This mechanism allows the child architecture to inherit the knowledge from its parent and achieve higher accuracy with the same training epochs. Assume we mutate the  $j^{th}$  node



Fig. 3: Comparing the traditional training workflow and the fine-tuning with transferred weights mechanism. FastStereoNet reuses shared weights of the previous architecture (parent) with the new architecture (child) to improve evaluations.

of the *List A*. Weights of all the nodes preceding the  $j^{th}$  node in both Lists are reused from the previous architecture (parent) and are frozen in the new training procedure of new architecture (child). Fig. 3 compares the workflow of the full training evaluation (traditional) with the proposed weight-reusing training evaluation. Only the blue nodes in Fig. 3 will be trained after evolving network, leading to faster training. Experimental results (Section VI-C) show that fine-tuning with transferred weights mechanism provides a significantly faster convergence compared to the traditional methods.

# IV. SEARCH STRATEGY

Neural Architecture Search (NAS) is an NP-hard problem with an exponential time complexity [36]. In other words, there is no polynomial optimization method to find the optimal solution in a reasonable amount of time. Also, an exhaustive search for finding a global optimum is infeasible even for small design spaces, e.g., [17] requires 334 GPU days to exhaustively search a design space containing only 8000 solutions. To this end, we leverage a meta-heuristic search to deal with the exponential complexity of the NAS problem.

#### A. Proposed Method

Inspired by [45], [46], we propose a multi-stage search method with two major stages, comprising Late Acceptance Hill Climbing (LAHC) [47], and Simulated Annealing (SA) [48], [49], respectively. Algorithm 1 summarizes the pseudocode of the FastStereoNet. The inputs of the FastStereoNet are the training and search configuration specified in Table III. The LAHC algorithm, as the semi-local search engine, quickly searches the space to bias the initial solution of SA. The key motivation of leveraging LAHC as the first search stage is to improve the SA convergence by providing better initial conditions. SA, as the global search engine, starts with a solution augmented by LAHC. LAHC mainly explore the search space, while SA tries to exploit the search space.

Compared to discrete search methods [36], [50], differentiable architecture search methods provide promising results by designing and training the network architecture at the same time [39]. However, differentiable architecture search methods are not ultimate solutions for any given task while discrete search methods provide more efficient results. For example, SI-EvoNet provides more accurate results with 1.84x higher compression rate and 2.1x faster search time compared to DARTS [39] search method on CIFAR-10 [50]. This is due the fact that 1) differentiable architecture search methods suffer from inefficient training [51]; 2) different differentiable architecture search methods converge to similar results [52] with equal search spaces and training setups, suggesting that differentiable architecture search methods are not capable of discovering diverse set of solutions. To this end, we propose a multi-stage iterative method as the optimization algorithm to provide higher discovery proficiency. In the rest of this section, we explain the LAHC and SA algorithms and how we tweak them for our problem. Finally, we analyze the time complexity and the proof of convergence of FastStereoNet.

# Algorithm 1 Pseudo-code of the FastStereoNet Algorithm

```
1: function FASTSTEREONET():
```

- 2: LocalOptimum  $\leftarrow$  LAHC (RandomSolution)
- 3: GlobalOptimum  $\leftarrow$  SA (LocalOptimum)
- 4: return GlobalOptimum

```
5: function LAHC(RandomSolution):
       i \leftarrow 1
6:
7:
       idle \leftarrow 0
8:
       CurrentSolution ← RandomSolution
9.
       while i ≤(Steps×MinRate)∨((idle<IdleFraction×i)∧(i≤Steps×MaxRate)) do
10:
          NewSolution ← MUTATE (CurrentSolution)
          E1 ← ENERGY (CurrentSolution)
11:
          E2 \leftarrow ENERGY (NewSolution)
12:
13:
          if E2 < E1 then
             CurrentSolution ← NewSolution
14:
15:
          else
16:
             idle += 1
          i += 1
17:
       return CurrentSolution
18:
```

19: **function** SA(LocalOptimum):

- T<sub>Min</sub>, T<sub>Max</sub>←SCHEDULER (MaxRateT<sub>Max</sub>, MaxRateT<sub>Min</sub>, Steps)
   GlobalOptimum← ANNEALER (LocalOptimum, T<sub>Min</sub>, T<sub>Max</sub>, Steps, MaxRateAnnealing)
- 22: return GlobalOptimum

```
i \leftarrow 0
26:
            while <code>AcceptanceRate</code> > 0.98 \land i \leqslant <code>MaxRateT_Max</code> \times Steps do
27:
                  T \leftarrow ROUND ((T / 1.5), 2)
                  RUN (Steps)
28:
29:
                  i += 1
            i \leftarrow 0
30:
            while <code>AcceptanceRate</code> < 0.98 \land i \leqslant <code>MaxRateT_Max</code> \times <code>Steps</code> do
31:
32:
                  \mathbb{T} \leftarrow \text{Round}((\mathbb{T} \times 1.5), 2)
33:
                  RUN (Steps)
34:
                  i += 1
            \mathtt{T}_{\mathtt{Max}} \gets \mathtt{T}
35:
            i \leftarrow 0
36:
            while ImprovementRate > 0 \land i \leq MaxRateT_{Min} \times Steps) do
37:
38:
                  T \leftarrow \text{ROUND} ((T / 1.5), 2)
39:
                  RUN (Steps)
40:
                  i += 1
            \mathtt{T}_{\texttt{Min}} \leftarrow \mathtt{T}
41:
42:
            return T<sub>Min</sub>, T<sub>Max</sub>
```

43: function ANNEALER(LocalOptimum,  $T_{Min},\ T_{Max},$  Steps, MaxRateAnnealing):

```
44:
        \texttt{T}_{\texttt{Factor}} \leftarrow \texttt{-LOG} \; (\texttt{T}_{\texttt{Max}} \; / \; \texttt{T}_{\texttt{Min}})
45:
        i \leftarrow 0
46:
        CurrentSolution ← LocalOptimum
47:
        while i \leq Step<sub>total</sub> do
48:
49:
            i += 1
            NewSolution ← MUTATE (CurrentSolution)
50:
51:
            E1 \leftarrow ENERGY (CurrentSolution)
            E2 \leftarrow ENERGY (NewSolution)
52:
53:
            if E2 < E1 then
54:
                CurrentSolution \leftarrow NewSolution
55:
            else
                Random (r \in (0, 1))
56:
                \Delta \leftarrow \text{E2 - E1}
57:
58:
                if r < EXP(-\Delta / T) then
59:
                    CurrentSolution ← NewSolution
60:
            T \leftarrow T_{Max} \times EXP (T_{Factor} \times (i/Step_{total}))
        return CurrentSolution
61:
```

**Stage 1: Late Acceptance Hill Climbing (LAHC).** LAHC is a heuristic search method that is responsible for providing initial solutions for the SA algorithm. LAHC is an extension of the simple hill climbing algorithm [53], while worse solutions are allowed to be accepted in LAHC in hopes of finding a better solution in future [47]. Function LAHC in Algorithm 1 describes the pseudo-code of the LAHC.

The LAHC tries to delay getting stuck in a local optimum by continuing the search procedures until the total number solutions with no improvement exceeds a descending threshold, named IdleFractionRatio, which is equal to IdleFraction×i. IdleFraction is a constant value, and i is the loop counter. The original LAHC algorithm only considers the IdleFractionRatio as the search termination condition. Considering the huge size of FastStereoNet search space and costly evaluating each candidate, the original LAHC algorithm is not a fast search method in practice since IdleFractionRatio is not a deterministic upperbound termination condition. Therefore, we extend the original LAHC algorithm by limiting the maximum number of search iterations. The new search termination condition is defined in Equation 3, where TotalIdleSolutions is the accumulated number of unsuccessful solutions after the last improvement, and MinimumSteps and MaximumSteps are lowerbound and upper-bound for the search process, respectively. While the proposed extended LAHC is fast, it cannot be used in isolation because its performance is not still competitive with more complex meta-heuristics (Section VI-C). To this end, we complement this by augmenting SA to the search.

$$\forall i \in 1..N \Rightarrow ((\text{TotalIdleSolutions} < \text{IdleFractionRatio}) \\ and \ (i \leqslant \text{MaximumSteps})) \\ or \ (i \leqslant \text{MinimumSteps}) \end{cases}$$
(3)

Stage 2: Simulated Annealing (SA). SA, on the other hand, is a guided optimization method in charge of finding the global optimum in the design space. SA avoids getting trapped in a local optimum by accepting worse neighbors with a varying probability. As such, SA enables the fast convergence to global optimum solution. The proof for the convergence of SA is presented in Section IV-B. Function SA in Algorithm 1 describes the pseudo-code of the SA. The ENERGY function in the algorithm is the search objective function. SA first begins by producing the new solutions by using the MUTATION function described in Secrtion III-B. Then it accepts the new solution if it has lower ENERGY function (objective function), while a worse solution is accepted with the probability of MIN(1, EXP(- $\Delta$  / T).  $\Delta$  is the difference of search objectives of the current solution and the new generated solution ( $\Delta = EN$ -ERGY (NewSolution) - ENERGY (CurrentSolution)), and T is the controlling parameter which corresponds to the temperature of the annealing procedure. T is gradually decreased according to the predefined minimum and maximum temperatures ( $T_{Max}$  and  $T_{Min}$ ). SA starts with a high value of T ( $T_{Max}$ ), occasionally accepting worse solutions for exploration, preventing the algorithm from being trapped in a local optimum. However, as the T approaches  $T_{Min}$ , the algorithm puts more weight on the exploitation. The overall SA search is summarized in the ANNEALER function.

The number of Steps highly impacts the results of the search. If there are not enough Steps to adequately explore the search space, it might get trapped in a local optimum. We tuned the Steps as provided in the Section V-C to prevent such issue. Likewise, an important consideration while determining the initial temperature  $T_{Max}$  was to make sure the SA accepts approximately 98% of the new solutions (explore the design space in the early iterations). On the other hand, the final temperature  $T_{Min}$  should be low enough such that the new solution does not have too much improvement (SA exploits the good solution founded so far in the later iterations). Since the  $T_{Max}$  and the  $T_{Min}$  values significantly influence on the result, SCHEDULER function automatically determines the starting values of  $T_{Max}$  and  $T_{Min}$  that would ensure convergence to a low energy solution. SCHEDULER contains three loops where the two first loops are responsible for estimating  $\mathbb{T}_{\text{Max}}$  and the third loop estimates the  ${\tt T}_{\rm Min}$  value. The RUN function iterates Steps times and calculates the number of solutions with fewer ENERGY function (ImprovementRate) as well as the number of solutions with no improvement but accepted by the probability of EXP(- $\Delta$  / T (AcceptanceRate)). The goal of the SCHEDULER is to refine the value of T (Max, Min) in order to accept 98% of moves at the beginning and reduce the probability of accepting a new solution at the end of search.

Equation 4 and Equation 5 present the objective functions considered in both search stages. The number of network floating-point operations (*FLOPs*) is considered to indirectly represent the network inference time (latency) in Equation 4. On the other hand, Equation 5 represents the second objective function based on latency prediction model. We devise three different latency predictors for FPGA, GPU and Intel<sup>®</sup> NCS2 to design customized a CNN architecture for each target device. These latency predictors provide accurate estimations of the inference latency as shown in Appendix G, leading to higher reproducibility of our algorithm as shown in Section VI-F.

$$Energy = \frac{FLOPs}{Accuracy} \tag{4}$$

$$Energy = \frac{Latency \ Prediction}{Accuracy} \tag{5}$$

#### B. FastStereoNet Practical Proof of Convergence

Generally, SA algorithm comes with a stochastic guarantees to reach a global optimum [9]. We present an empirical evaluation of SA compared to different search algorithms such as random search and LAHC to demonstrate the superior optimization performance of SA. According to [10], [11], [12], the random search can find the best architecture in many applications. However, as shown in the Fig. 4, SA reached the lowest global ENERGY. Overall, experiments show it succeeds to find a feasible solution in a reasonable time.

# C. FastStereoNet Complexity Analysis

We analyze the computational complexity of the FastStereoNet based on the "big-O" analysis (Appendix C) as well as



Fig. 4: Comparing the search capability of SA, LAHC, and random search (baseline) with the identical search objective.

the empirical evaluations of the total number of used images during the search (Appendix D). The overall FastStereoNet computational complexity is  $O(Steps^2)$ , and FastStereoNet uses 120M images in total for designing an efficient architecture that is  $9.3 \times$  less than 1.15 billion images used by [22].

#### V. EXPERIMENTAL SETUP

In this section, we present the evaluation dataset (Section V-A), hardware specifications (Section V-B), and experimental configuration setup (Section V-C) that are used to test the FastStereoNet framework. The detailed descriptions of the smoothing filters and hardware implementation methods are presented in Appendix E and Appendix F, respectively.

#### A. Evaluation Dataset

KITTI 2015 is an extensive visual stereo dataset which plays a crucial role in developing several algorithms supporting autonomous systems [54], [25]. The KITTI 2015 dataset is recorded in a city (on highways and rural areas) with a car equipped with a Velodyne HDL-64E LiDAR, GPS, two stereo cameras (color and gray-scale), and inertial sensors [55]. KITTI 2015 includes 200 training and 200 test RGB stereo-pairs with the dimension of  $1242 \times 375$  pixels. D1all is an estimation metric in which a pixel is correctly estimated if the difference between the value of a ground-truth pixel and corresponding estimation value is less than 3% (or <5%). KITTI 2015 reports both non-occluded (Noc) pixels and pixels with available ground-truth. The Noc evaluation excludes all pixels falling outside the image surface while pixels occluded by objects within the same image could not be reliably estimated fully automatically because of the laser scanner properties [55]. Therefore, the Noc evaluations have higher accuracy. Some studies use the end-point-error (EPE) as the disparity error metric, however, the KITTI evaluation server<sup>1</sup> only reports the D1-all test set error measurement.

## B. Hardware Specifications

Table II presents specifications of utilized hardware devices. TABLE II: Hardware Specification.

| Device                              | Specification  |  |  |  |
|-------------------------------------|--|--|--|--|
| GPU                                 | NVIDIA <sup>®</sup> GTX 1080ti (2.5 GHz)   |  |  |  |
| GPU Memory                          | 11 GB  |  |  |  |
| GPU Compiler                        | cuDNN Version 10.0   |  |  |  |
| Training System Memory              | 64 GB  |  |  |  |
| CPU                                 | Intel <sup>®</sup> Mobile Processor (Core i7-7820 HQ)  |  |  |  |
| CPU Compiler                        | TensorFlow Compiled on Intel <sup>®</sup> SSE4 Instruction Set<br>Intel <sup>®</sup> OpenVINO <sup>™</sup> Version 2020.1 [56] |  |  |  |
| FPGA Instance                       | Amazon EC2 (Zynq UltraScale+)  |  |  |  |
| Intel <sup>®</sup> NCS2 Accelerator | Movidius <sup>™</sup> Myriad <sup>™</sup> Vision Processing Unit   |  |  |  |
| Intel <sup>®</sup> NCS2 Compiler    | Intel <sup>®</sup> OpenVINO <sup>™</sup> Version 2020.1  |  |  |  |

#### C. Experimental Configuration

Some recent studies use partial training to accelerate the evaluation process [36], [2]. However, in this work, we do not use any search proxies such as accuracy predictors to provide accurate evaluations. The candidates are trained using AdaGrad stochastic gradient descent [15]. AdaGrad adapts the gradient-based on historical information similar to momentum-based gradient descent. Table III summarizes the setup of experiments utilized for the full training and search steps. Finally, we used TensorFlow Version 1.14 to develop the FastStereoNet framework.

TABLE III: The configuration setup of the training and search procedure.

| Full-Training Parameters   | Value                                |  |  |
|--|--------------------------------------|--|--|
| Activation Function  | Relu                                 |  |  |
| # Epochs   | 1000                                 |  |  |
| Batch Size   | 128                                  |  |  |
| Disparity Range  | 128                                  |  |  |
| Optimizer  | AdaGrad                              |  |  |
| Learning Data (la)   | 0.01 and if (epoch $\geq$ 2400) then |  |  |
| Learning Rate $(lr)$   | lr = lr/5 after each 800 epochs      |  |  |
| Search Parameters  | Value                                |  |  |
| Total Steps (Steps <sub>total</sub> )  | 200                                  |  |  |
| Default Max Temperature (T <sub>Max</sub> )  | 25000                                |  |  |
| Default Min Temperature (T <sub>Min</sub> )  | 2.5                                  |  |  |
| Steps  | 10                                   |  |  |
| MaxRateAnnealing in the ANNEALER function  | 20                                   |  |  |
| IdleFraction in the LAHC function  | 0.02                                 |  |  |
| {MinRate, MaxRate} in the LAHC function  | {10, 30}                             |  |  |
| $ \begin{cases} \texttt{MaxRateT}_{\texttt{Min}}, \texttt{MaxRateT}_{\texttt{Max}} \end{cases} in \\ \text{the SCHEDULER function} \end{cases} $ | {5,10}                               |  |  |

#### VI. RESULTS

We evaluate the performance of FastStereoNet on various resource-limited devices. Disparity estimation performance, latency, search speed, search convergence, reproducibility, disparity refinement, frequency selection of dominant operations (Appendix I), and hardware implementation results are recorded as the evaluation metrics. We solved the NAS problem with different search scenarios: Late Acceptance Hill Climbing (*LAHC*), Random Search (*RS*), Simulated Annealing without using transfer learning (*SA*), Simulated Annealing with using Transfer Learning (*SA*+*TL*), Late Acceptance Hill Climbing and Simulated Annealing without using transfer learning (*LAHC*+*SA*), and Late Acceptance Hill Climbing and Simulated Annealing with using Transfer Learning (*LAHC*+*SA*+*TL*).

TABLE IV: Comparing the FastStereoNet results with state-of-the-art methods on KITTI 2015. Unsuccessful implementation are shown in the red cells (mainly due to the limited on-chip memory or OpenVINO<sup>™</sup> limited supporting operations).

| Architecture                             | FLOPs             | Search         | Network Compression      | NID               | Accuracy<br>FLOPs | Search Cost | Error (%)            | Intel <sup>®</sup> NCS2 |
|--|-------------------|----------------|--------------------------|-------------------|-------------------|-------------|----------------------|-------------------------|
|  | $(\times 10^{6})$ | Method         | $Rate(\times)^{\dagger}$ | $(\times 10^{6})$ | $(\times 10^{6})$ | (GPU Days)⊠ | Without Quantization | Inference Time (Sec.)*  |
| DenseDisp [2]                            | 1.56              | Meta-heuristic | 102                      | 89.3              | 58.98             | 2           | 7.99 (D1-all)        | 0.626 (0.017≠)          |
| AutoDispNet-BOHB-CSS-ft <sup>†</sup> [1] | 160               | RL             | 1                        | 0.88              | -                 | 42          | OUT_OF_              | MEMORY‡                 |
| DenseMapNet [57]                         | -                 | Hand-Crafted   | -                        | -                 | -                 | -           | 2.52 (EPE)           | 0.45                    |
| Vid2Depth [58]                           | -                 | Hand-Crafted   | -                        | -                 | -                 | -           | 0.163 (Abs. Rel.)    | 0.276                   |
| GC-Net [59]                              | -                 | Hand-Crafted   | -                        | 27.75             | -                 | -           | OUT_OF_              | MEMORY‡                 |
| Content-CNN [24]                         | 2                 | Hand-Crafted   | 80                       | 13.6              | 47.73             | -           | 4.54 (D1-all)        | 0.7                     |
| GA-Net-deep [9]                          | -                 | Hand-Crafted   | -                        | -                 | -                 | -           | OUT_OF_              | MEMORY‡                 |
| PSM-Net [10]                             | 30                | Hand-Crafted   | 5.3                      | 6.1               | -                 | -           | OUT_OF_              | MEMORY <sup>‡</sup>     |
| DispNet-CSS-ft∓ [60]                     | 195               | Hand-Crafted   | 0.8                      | 0.84              | -                 | -           | OUT_OF_              | MEMORY‡                 |
| FastStereoNet (FLOPs)                    | 2.08              | Meta-heuristic | 76.9                     | 68.24             | 44.94             | 8           | 6.51 (D1-all)        | 0.64 (0.028≠)           |
| FastStereoNet (NCS2)                     | 3.6               | Meta-heuristic | 44.4                     | 40.07             | 26.6              | 8           | 4.22 (D1-all)        | 0.64 (0.028≠)           |

 $\mp$  Reported in [1].

\* The results are compiled with Intel® OpenVINO<sup>™</sup>

‡ Undesired state which happens whenever the Intel<sup>®</sup> NCS2 on-chip memory cannot be allocated due to the huge network size.  $\bowtie$  All the methods used 1× NVIDIA<sup>®</sup> GTX 1080ti for evaluating the candidates.

≠ Average computation time (kernel time) for 10000 times re-running network inference

#### A. Disparity Estimation Performance

Table IV compares the FastStereoNet optimized architecture with the other cutting-edge architectures regarding the D1all accuracy. Intel<sup>®</sup> NCS2 inference time, FLOPs, and the search cost evaluation metrics. We consider end-to-end latency (data transfer time + computation time) as the evaluation inference metric. Batch size is equal to 1 in all the experiments. We believe taking inference time, represented in second(s), is not reliable as the only metric for comparing the implementation efficiency of two different networks. The reasons come from the fact that 1) the inference time even on the same device depends on various factors, such as the learning API (Torch [61], TensorFlow [44], etc.), compiler settings, and hardware acceleration libraries (NVIDIA® cuDNN, Intel<sup>®</sup> OpenVINO<sup>™</sup>, etc.); and 2) the hardware implementation details are not usually reported in many studies. Therefore, we also report *network compression rate*, Accuracy, and NID (Section II-B2) in Table IV as three hardware-independent alternative metrics. The architecture with the highest latencyerror trade-off, optimized by the LAHC+SA+TL scenario, is reported as the final optimized FastStereoNet architecture. Fig. J.1 in Appendix J illustrates the FastStereoNet optimized architecture for each device.

FastStereoNet obtains 95.78% accuracy with 640ms total inference time on the Intel® NCS2 accelerator, 2.39M parameters, and 3.6M FLOPs. In comparison with the AutoDispNet-BOHB-CSS-ft NAS method, FastStereoNet presents 44.4× more network compression rate while delivering a comparable accuracy (less than 2.05% accuracy loss). It is worth to mentioning that unlike AutoDispNet-BOHB-CSS-ft, we do not use any training optimization technique to improve the accuracy of disparity estimation. Also, FastStereoNet can be successfully implemented on the Intel<sup>®</sup> NCS2 accelerator, while AutoDispNet-BOHB-CSS-ft fails to be implemented in the Intel® NCS2 accelerator due to huge memory footprint. In terms of search time, although FastStereoNet directly searches a huge space with the minimum size of  $2 \times 24^{10}$  candidates, FastStereoNet is still 5.25× faster than AutoDispNet which is a cell-based search that usually take shorter time to find a solution. Compared to DenseDisp [2], FastStereoNet provides 3.77% higher accuracy as it uses a more complex design space. DenseDisp yields  $4 \times$  faster search compared to FastStereoNet.



Fig. 5: Illustrating the output of different studied disparity estimators: (a) left image, (b) right image,(c) DenseMapNet (FP16 @ NCS2), (d) Vid2Depth (FP16 @ NCS2), (e) DispNet (float @ CPU @ TensorFlow), (f) DispNet (float @ CPU @ OpenVINO<sup>™</sup>), (g) GC-Net (float @ CPU), and (h) FastStereoNet (FP16 @ NCS2). FastStereoNet is the only solution that yields clear disparity perception on Intel<sup>®</sup> NCS2.

However, this comes from the fact that DenseDisp trains the candidate architectures for few epochs to estimate the accuracy while FastStereoNet fully trains the network for all candidates.

Reporting the inference time on the Intel® NCS2 accelerator is motivated by the following three observations: 1) some real-time neural architectures such as DispNet [62] cannot be deployed on some of the resource-limited devices due to their high memory footprint; 2) the cutting-edge learning models use complex operations which are not usually supported by commodity embedded devices, e.g., Intel® NCS2 does not support GatherNd operation used by MADNet [63]; and 3)

Most of the resource-limited devices only support quantized operations such as 8-bit floating-point (FP8) or 16-bit floatingpoint (FP16). However, despite our expectation, the 16-bit quantization decreases the accuracy significantly compared to the full precision implementation (float) as illustrated in Fig. 5.c. As such, the models tailored to high-performance GPUs may not be useful for any resource constrained devices. To the best of our knowledge, FastStereoNet is the only solution that tackles all the challenges mentioned above by providing a clear perception (Fig. 5.h) on the Intel<sup>®</sup> NCS2 accelerator. FastStereoNet achieves the clear perception with low latency by: 1) considering network inference time as the second search objective yields highly customized architectures for a given target device; 2) using widely supported operations (Table I) makes FastStereoNet favorable for many resourcelimited devices; and 3) utilizing an independent disparity refinement which is not quantized during hardware implementation; thus, it refines quantization drawbacks.

#### **B.** Estimation Error Across Different Metrics

Table V compares the D1-all disparity accuracy across different error metrics for the model designed for Intel<sup>®</sup> NCS2. In this experiment, we do not use any disparity refinement techniques. FastStereoNet obtains a 3-pixel stereo error of 7.82%, while Content-CNN [24] achieves 8.97% with  $2.9\times$  more parameters. [64] performs slightly better than FastStereoNet on 2- and 3-pixel. However, this is because the main objective of FastStereoNet was to meet the resource constraints while achieving a reasonable performance, whereas [64] solely focuses on improving the accuracy without consideration for the resource constraints.

TABLE V: Comparison of the output of the matching network across different error metrics. The table illustrates D1-all (non-occluded+occluded) error on the KITTI 2015.

| Methods without<br>Disparity Refinement | > 2-pixel | > 3-pixel | > 4-pixel | > 5-pixel |
|---|-----------|-----------|-----------|-----------|
| MC-CNN [65]                             | 16.83     | 14.12     | 12.72     | 11.80     |
| Content-CNN [24]                        | 11.67     | 8.97      | 7.62      | 6.78      |
| Zhou et al. [64]                        | 10.96     | 7.29      | 7.28      | 6.22      |
| FastStereoNet                           | 12.64     | 7.82      | 6.94      | 6.0       |
| Methods with<br>Disparity Refinement    | > 2-pixel | > 3-pixel | > 4-pixel | > 5-pixel |
| PSM-Net [24]                            | 3.01      | 2.32      | 1.42      | 1.15      |
| GA-Net-deep [24]                        | 2.79      | 1.81      | 1.37      | 1.1       |

# C. Smoothing Comparison

In this section, we evaluate different disparity refinement filters by employing edge-preserving and smoothing algorithms (Appendix E describes the filters). Table VI shows the 3-pixel error results after applying different filters. The qualitative disparity results of the studied smoothing filters are illustrated in Fig. 6. The yellow circles in Fig. 6 indicate the disparity region with noise and low-confidence matches. Employing smoothing filters shows a notable accuracy improvement (between 0.5% to 3.6%). The cost aggregation encourages local smoothness leading to improve the results slightly. The Median filter eliminates many image noises, but minor isolated noisy areas were remaining (Fig. 6.c). In addition, we observe that MATLAB<sup>®</sup> smoothing functions followed by Median filter achieves up to 2.6% edge-preserving performance (Fig. 6.c). However, there still exist some low-confidence matches. The Binomial filter is not effective since it works as a linear band-pass filter which only blurs the disparity map. LRCSGM is a robust filter focusing on sub-pixel enhancement. LRCSGM yields the maximum error reduction regarding the raw disparity by 3.6% (as shown in Fig. 6.d).

TABLE VI: Comparison of different smoothing methods applied on the raw output of the FastStereoNet architecture. The table illustrates the 3-pixel error results on the KITTI 2015. The best result is highlighted in green cell.

| Smoothing Filter                                 | DenseDisp [2] | FastStereoNet (Ours) |
|--|---------------|----------------------|
| -  | 12.4          | 7.82                 |
| CA   | 11.9          | 7.27                 |
| Median [15×15]                                   | 9.9           | 5.41                 |
| LRCSGM   | 7.9           | 4,22                 |
| MATLAB <sup>®</sup> imguidedfilter               | 13.0          | 8.4                  |
| MATLAB <sup>®</sup> anisodiff2D                  | 11.2          | 6.55                 |
| MATLAB <sup>®</sup> anisodiff2D + Median [15×15] | 9.75          | 5.24                 |
| MATLAB <sup>®</sup> sharpen + Median [15×15]     | 9.9           | 5.39                 |
| Bilateral + Median $[15 \times 15]$              | 10.4          | 6.01                 |
| Binomial $[5 \times 5]$                          | 13.1          | 8.7                  |
|  |               |                      |

## D. Search Convergence Analysis

Fig. 7 plots the energy function (Equation 4) across search iterations. FastStereoNet finds architectures with a monotonic decrease in the energy, indicating FastStereoNet leads to a higher FLOPs-error trade-off with more search iterations. In addition, results show that *LAHC+SA* and *LAHC+SA+TL*, that begins from better initial conditions from *LAHC*, provided up to  $20.1 \times$  less energy compared to *SA* and *SA+TL* without *LAHC* initialization.

# E. Analyzing Search Methods

Fig. 8.b illustrates the FLOPs-error trade-off for the improved architectures (mutation with improving the objective function) over different search methods. We plot the optimization results with Equation 4 as the baseline objective function. RS could not find any improved architectures, meaning that our search space was not the only reason behind the efficiency of FastStereoNet. Although LAHC is very fast as it required only 47 iterations to converge (Fig. 8.a), it could not find as efficient neural architecture as it was trapped in local optima. LAHC+SA+TL and SA+TL provide the best trade-off between FLOPs and error compared to the other methods. However, LAHC+SA+TL is  $1.8 \times$  faster than SA+TL, because LAHC provided better initialization that led to faster convergence of SA (Fig. 8.a). Although LAHC+SA+TL has  $2.1 \times$  slower search convergence compared to LAHC+SA, it discovers more improved architectures with a better FLOPs-error trade-off as the transferred weights mechanism led to better solution [43].

# F. Reproducibility of the Results

Many works on Neural Architecture Search (NAS) had issues regarding reproducibility due to the innate stochasticity. We demonstrate the reproducibility of FastStereoNet by plotting the improvement with the shades to denote the confidence



Fig. 6: Visual results of the proposed FastStereoNet framework after applying different disparity refinement filters. The yellow circles indicate the disparity region with noise and low-confidence matches.



Fig. 7: Convergence of the energy function.

intervals in Fig. 9. Results show that, while the confidence

interval is wide in the beginning, all search runs converge to neural architectures with similar energy. Importantly, the search for GPU, FPGA, and Intel<sup>®</sup> NCS2 were based on latency prediction. Results show that the search based on latency prediction provided higher confidence results with smaller standard deviation during the search and converged significantly faster compared to using FLOPS as the search objective. Appendix H reports the reproducibility of results and correlation coefficients of multiple running FastStereoNet by using the Equation 4 objective function.

# G. FPGA Implementation Results

In this section, we discuss the implementation of deploying our optimized network on FPGA. Table VII presents the comparison of the inference time for different disparity estimation



Fig. 8: (a) The number of taken iterations to achieve the best candidate over different search scenarios. (b) FLOPs-error trade-off of discovered candidates by different search methods. We also plot the Pareto frontier of each scenario to tell the decision maker the best balanced architecture.

methods implemented on FPGA. We use the number of processed Frame-Per-Second (FPS) as the metric. FastStereoNet provides 2.07× faster inference time compared to Content-CNN as a CNN-based disparity estimation [24]. FastStereoNet provides 11% faster inference time in comparison with the architecture optimized by the FLOPs objective metric indicating that the latency prediction provides better search guidance. In comparison with [14], as an SGM based method, FastStereoNet delivers  $3.27 \times$  less FPS, but provides 9.68% more accurate estimation. [27] presents cutting-edge results on SGM-based disparity estimation on FPGA by leveraging a heterogeneous device (Xilinx ZCU104 + CPU) leading to achieve considerable performance improvement. Although FastStereoNet fails in comparison with [27], to have a fair comparison, we need to consider that [27] only implements a subset of disparity estimation pipeline on the FPGA (Median filters, SGM, redundancy checks, etc.), while the dynamic tasks with high access to memory are implemented on CPU



Fig. 9: Illustrating the reproducibility of results. Each line presents the mean value of five times running of FastStereoNet for various devices. Shades represent the confidence interval.

TABLE VII: FPGA implementation results of FastStereoNet compared to state-of-the-art.

| Work<br>FPGA Device                        | Method                 | Disparity<br>Range | FPS      | Accuracy (%)<br>(D1-all) |
|--|------------------------|--------------------|----------|--------------------------|
| [14]                                       | ELAS <sup>†</sup> [66] | -                  | 9.5      | 86.1                     |
| Zynq ZC706 (CPU+FPGA)<br>[27]              | SGM+ELAS               | -                  | 52       | 91.3±                    |
| Zynq ZCU104 (CPU+FPGA)<br>Content-CNN [24] | CNN                    | 128                | 1.4      | 95.46                    |
| Zynq UltraScale+<br>FaststereoNet (FLOPs)  | CNN                    | 128                | 2.6      | 93.49                    |
| Zynq UltraScale+                           |                        |                    |          |                          |
| FaststereoNet (FPGA)<br>Zynq UltraScale+   | CNN                    | 128                | 2.9      | 95.78                    |
| + The Efficient Large-Scale Ste            | ereo (ELAS), is        | the fastest tria   | ngulatic | on-based                 |

disparity estimation algorithm on CPU.

(image transformations, disparity interpolation, etc.).

## VII. CONCLUSION

Directly solving the NAS problem on large scale tasks such as KITTI 2015 is highly expensive, as each candidate takes days to converge. A main disadvantage of evolutionary and RL based methods is that they require enormous computational resources prohibiting their usage in limited budget circumstances. In this paper, we propose a multi-stage search method, named FastStereoNet, which is significantly more efficient in terms of search cost and quality of the results compared to the state-of-the-art. FastStereoNet considers the estimated network inference time along with accuracy as the search objectives to discover resource-efficient architectures. The experiments showed FastStereoNet provides competitive results compared to other alternative approaches.

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# **Supplementary Material**

## APPENDIX A DISPARITY ESTIMATION PIPELINE

In this section, we first present the pipeline of disparity estimation. Then, we review the methods that use triangulation for efficient disparity estimation on resource-limited devices. The stereo vision triangulation is the conventional method for extracting depth information in multiple simultaneous 2D images. The conventional stereo vision algorithm consists of the following four steps [1]: 1) cost initialization, calculating the matching costs for assigning different disparities to different pixels; 2) cost aggregation, spatially aggregating the initial matching costs over a supporting window; 3) disparity optimization, selecting the best disparity for each pixel by minimizing the matching cost; and 4) disparity refinement, post-processing the generated disparity map to preserve the variation of the discontinuous disparity values and removing the mismatches. Fig. A.1 pictures the processing steps of the triangulation-based stereo matching algorithm.



Fig. A.1: The overview of the processing steps of the triangulation-based stereo matching algorithm.

The taken steps in this pipeline depends on the specific methods categorized in three modalities, comprising: 1) local [2], [3]; 2) global [4]; and 3) Semi-Global Matching (SGM) [5]. The global methods try to optimize problem over the entire image, while local methods are restricted to the intensity of surrounding neighborhood information of the pixel under evaluation. In general, the global methods are more accurate, but the local and semi-global methods are more efficient since we can limit the searching range of disparity estimation [6], [7]. Therefore, semi-global methods are preferable for real-time embedded systems. SGM, which is the most widely used stereo matching algorithm, considers the neighboring pixels to extract smooth surfaces, while the resolution of matching ambiguities is much more reliable than local methods.

#### APPENDIX B

#### SIMULATED ANNEALING VS GENETIC ALGORITHM

The design space of the target neural architecture search problem is astronomically large  $(2 \times 24^{10})$  in the minimum case). To solve this problem using Genetic Algorithm (GA) we have to either 1) consider large population size, usually 10% of the whole design space, with a small number of search iterations; or 2) consider a small population size with a large number of search iterations. However, both of these options are time-consuming. For instance, [8], a cell-based NAS method that uses GA, requires 27 GPU-days to design an optimized architecture for CIFAR-10 dataset. On

the other hand, FastStereoNet is a macro NAS method that uses LAHC+SA to design an optimized architecture for KITTI 2015 dataset in only 8 GPU-days. In addition, FastStereoNet leverages the SCHEDULER function to adaptively select the search hyper-parameters (e.g.,  $T_{Max}$  and  $T_{Min}$ ), while [8] needs expert intuition to select search hyper-parameters for any unseen problem.

# APPENDIX C Traditional Complexity Analysis of FastStereoNet

The FastStereoNet algorithm consists of two sub-functions, including LAHC and SA. Thus, the computational complexity of the FastStereoNet is the summation of the extended LAHC and the extended SA computational complexities. The computational complexity of the extended LAHC depends on the maximum number of search iteration, which is equal to Steps×MaxRate. The computational complexity of the MUTATE function and replacement are considered O(1). We also consider the computational complexity of the ENERGY function (training) equal to O(1) to only compute the order of search complexity. Considering the MaxRate value is constant, O(Steps) is counted as the computational complexity of the extended LAHC. In other words, the computational complexity of the extended LAHC only depends on the maximum number of produced networks.

The SA algorithm contains two main sub-functions, including SCHEDULER and ANNEALER. The worse-case computational complexity of the ANNEALER depends on the maximum number of search iteration which is equal to Steps×MaxRateAnnealing. Considering the MaxRateAnnealing value is constant, the computational complexity of ANNEALER is O(Steps). SCHEDULER employs three independent loops to estimate the value of the  $T_{\text{Max}}$  and  $T_{\text{Min}}.$  In each iteration of these three loops, we Steps times produce a new solution fulfilled in the RUN function. Since these loops are bounded to a maximum iteration (Steps×MaxRateT<sub>(Max, Min)</sub>), the computational complexity of each loop is  $O(Steps^2)$ . In the same way, the computational complexity of SCHEDULER is computed as  $O(3 \times \text{Steps}^2) = O(\text{Steps}^2)$ . Therefore, the computational complexity of SA is  $O(\text{Steps}) + O(\text{Steps}^2) = O(\text{Steps}^2)$ . Similarly, the overall FastStereoNet computational complexity is  $O(\text{Steps}) + O(\text{Steps}^2) = O(\text{Steps}^2)$ .

#### APPENDIX D

#### EMPIRICAL COMPLEXITY ANALYSIS OF FASTSTEREONET

Inspired by [13], we evaluate the total number of images used by the search process as a reasonable metric for analyzing the complexity of the proposed search method. We do not consider the transferred weights mechanism in worstcase complexity analysis since it improves search results. Suppose that we train each solution partially with P = 1000epochs to select the best architecture. According to the search configuration, the search process needs I = 600 iterations in total. We need  $I \times P$  epochs for the end-to-end optimization process. Therefore, we use 120M images (in each P epochs we evaluate 200 images) in total, that is  $9.3 \times$  less than 1.15 billion images used by [13].

# APPENDIX E

# SMOOTHING FASTSTEREONET OUTPUTS

The output of FastStereoNet is a raw predicted disparity of each image location, without applying any disparity refinement. In this paper, we investigate different disparity refinement methods to improve the robustness of the output results. In particular, we use cost aggregation, Median filtering, MATLAB<sup>®</sup> edge-preserving and smoothing toolbox, as well as Bilateral filtering as a means of noise removal and smoothing. We briefly present these methods in the following.

**Cost Aggregation (CA).** We leveraged a simple cost aggregation method by performing average pooling over a  $5 \times 5$  window size.

Median Filtering. Is a popular nonlinear method that preserves sharp edges while tries to effectively suppress impulsive noises by replacing each pixel with the median of neighboring pixels. In this paper, we perform the Median filter over a window size of  $15 \times 15$  in all the experiments.

**MATLAB<sup>®</sup> Edge-Preserving and Smoothing Filters.** MATLAB<sup>®</sup> provides a set of image enhancement functions that we applied to smooth, sharp, and preserve edges with minimal effort. We exploit the following three filtering functions supported in MATLAB<sup>®</sup> 2017b.

- imguidedfilter. The guided image filtering is a function that considers the statistics of a region in the corresponding spatial neighborhood of a second image, so-called guidance image, to improve edge-preserving smoothing influence. We used the ground-truth as the guiding image to improve the filtering quality.
- 2) anisodiff2D. The anisotropic diffusion filtering is one of the most popular methods in 3-D reconstruction, image denoising, and stereo matching, which works based on the heat transfer partial differential equation [14].
- 3) sharpen. In general, the sharpening process is a high pass filter that increases the contrast between bright and dark pixels. In this paper, we applied the Median filter  $(15 \times 15)$  on the output of a sharpen function to smooth the disparity values between adjacent depth-continuous pixels.

**Bilateral + Median Filtering.** The bilateral filter is a nonlinear image smoothing noise-reducing and edge-preserving filter. The bilateral filter swaps the intensity value of each pixel with the weighted intensity average of its neighbourhood pixels. In this paper, we applied the Median filter  $(15 \times 15)$  on the output of the bilateral filter to achieve a higher level of smoothness.

**Binomial Filtering.** The binomial filter is a statistical smoothing filter based on a kernel filed with binomial weights. The binomial filter does not require multipliers and thus can be deployed efficiently in resource-limited hardware. However, the binomial filter could not enhance disparity refinement performance since it is a band-pass filter which blurs the edges.

<u>Left-Right</u> Consistency + Speckle + Gap Interpolation + Median (LRCSGM) [2]. The algorithm performs the number of pixels within a window of similar disparity; 3) Gap interpolation: fill non-confident values with the disparity of closes valid disparity; 4) Median filter: the final noise removal function. According to our experimental results, the LRCSGM filter provides the maximum smoothing accuracy.

#### Appendix F

#### HARDWARE IMPLEMENTATION DETAILS

This section presents steps to deploy a CNN architecture on the target hardware devices.

**FPGA.** We utilize the Xilinx High-Level-Synthesis (HLS) tool to automatically deploy a CNN architecture represented in Python TensorFlow to FPGA. The main reason for employing HLS for developing the toolchain is that HLS allows neural network designers who actually have little knowledge about the underlying hardware and digital design. However, HLS tools usually only support OpenCL, C or C++, while neural network designers typically use high-level APIs to describe their networks such as TensorFlow, or PyTorch. To solve this challenge, we leveraged DeepHLS [16] conversion tool to convert TensorFlow to ANSI C. In addition to the conversion, DeepHLS supports conversion result verification. Fig. F.1 pictures the overall flow of the toolchain. This process's input is a trained CNN in TensorFlow plus the test data used in the CNN design phase. The main steps of Fig. F.1 are:

- 1) **Preprocessing.** Generate the memory dump of the test data and network weights and biases.
- 2) Synthesizable C code generation. In the first step, we process the TensorFlow to extract the specifications of each layer. Next, the output code generation begins. In fact, we use a library of C templates for generating the output code.
- 3) Testbench. Test and simulate the generated C code from the earlier step to check the correctness of code. If the data type is exactly the same as what was used in the TensorFlow design phase, the accuracy must be the same. In this paper, we use the 16-bit fixed-point data type for the FPGA implementation.

**GPU.** TensorFlow is able to automatically deploy a CNN on GPU by utilizing NVIDIA<sup>®</sup> CUDA<sup>®</sup> Deep Neural Network library<sup>TM</sup> (cuDNN). cuDNN is a GPU-accelerated library of primitives for DNNs. cuDNN provides highly optimized implementations of routines that frequently occur in DNN applications. We used cuDNN version 10.0 to perform experiments.

Intel<sup>®</sup> NCS2. The Myriad 2 Vector Processing Unit (VPU) is designed as a 28-nm co-processor that provides highperformance tensor acceleration with less than 1W chip dissipates [17] and 600MHz nominal frequency. The Intel<sup>®</sup> Neural Compute Stick 2 (NCS2) is an implementation of the Myriad 2 VPU. Intel<sup>®</sup> NCS2 uses the OpenVINO<sup>TM</sup> toolkit to facilitate faster inference. Intel<sup>®</sup> NCS2. The programming interface supports Python and C/C++. To perform inference on the device, the programming API follows a set of operations that



Fig. F.1: The bird's eye view of the DeepHLS toolchain.

is a non-blocking MPI. Thus, a load operation transfers the input and prepares the NCS2 for execution in the first step. Then, a wait operation blocks the process on the host until finishing the execution on the NCS2.

# APPENDIX G LATENCY PREDICTION

Inference time (latency) is the second objective for designing resource-efficient CNN architectures. Optimizing CNN based on direct latency measurement instead of FLOPs can better represent hardware traits [21]. However, direct latency measurement prolongs the design time (up to 20 minutes for measuring the FPGA latency of each candidate [18]). Therefore, direct latency measurement is not ideal for scalable NAS. On the other hand, several studies optimize CNN architecture based on FLOPs [36], [2] or direct performance measurement [17]. Nevertheless, FLOPs cannot capture the desired hardware characteristics [20]. To demonstrate this claim, we compared the latency of a network on two different hardware devices. Fig. G.1 illustrates the measured latency surface of a  $3 \times 3$ convolution operator with different input and output channel sizes on an Intel<sup>®</sup> core i5-3210m 2.5 GHz and a Xilinx ZCU104 FPGA. Intel<sup>®</sup> processor and Xilinx ZCU104 have different latency surface area over network FLOPs.

Instead of direct measurement, we build a regression model to estimate network inference time. For a network architecture with a sequence of operations, the expected inference time of the network can be expressed with the summation of the network operations [19]:

$$EL[latency_{network}] = \Sigma_i EL[latency_i] = \Sigma_i^{LP(o_i)}$$
(6)

where  $EL[latency_i]$  is the expected latency of the  $i^{th}$  operation,  $LP(o_i)$  denotes the predicted latency of the operation  $i^{th}$ . Accordingly, we need to predict the latency of each network operation. Therefore, the inputs of the latency prediction model include: (a) input and output feature map



Fig. G.1: Latency vs. #Channels for a  $3\times3$  convolution on an input image size of  $56\times56$  and stride 1 on (a) Intel<sup>®</sup> core i5-3210m 2.5 GHz and (b) Xilinx ZCU104 FPGA. Blue (red) color indicates low (high) latency.

size, (b) type of the operator, (c) kernel size, and (d) stride size. We randomly sampled 105 architectures from the search space, where 80% of the samples are used to build the latency model, and the rest for testing the model. Fig. G.2 to Fig. G.4 compare several regression models for predicting the latency of GPU, FPGA, and Intel<sup>®</sup> NCS2, respectively. The regression model with the minimum mean-squared-error (MSE) has been selected as the best prediction model. The results show that there is a strong correlation between directly measured latency and the predicted latency on the test set, suggesting that the proposed latency prediction model can be used to replace the expensive real hardware latency measurement.

# APPENDIX H Reproducibility of Results

Fig. H.1.a illustrates the reproducibility of results for the baseline scenario using FLOPs-based search method with the same solution as the initial point. The average standard deviation (STDEV) of reproducing the results is 14.2%. The variation of STDEV in the first iterations is up to 36.0% indicating that we explore the design space in early search steps, while we exploit the space in the later search steps with  $\simeq 10\%$  STDEV. Fig. H.1.b presents the Pearson correlation coefficients of multiple FastStereoNet runs. Results show that there is a strong relationship between the several times running FastStereoNet with *Pearson* – r = 0.94 on average.



Fig. G.2: Comparison of different GPU latency prediction models. All values are in millisecond. The green figure is the best model.

# APPENDIX I Analyzing Frequency Selection of the Dominant Operations

Fig. I.1 presents the dominance pattern of each node operation (method LAHC+SA+TL) in the mutated architectures over proceeding the search iterations. The kernel with size  $5 \times 5$ and the *Same* padding (*Same*: $5 \times 5$ ) is the dominant operation in the first random initial candidate (iteration = 1). However, by proceeding the search iterations, the kernel with size  $3 \times 3$ and the *Valid* padding (*Valid*: $3 \times 3$ ) shows promising results by occupying around 70% of nodes after 100 iterations. It means that FastStereoNet favors to select *Valid*: $3 \times 3$  as a superior operation since a small kernel size can extract the tiny features of the image which is extremely important for finding corresponding pixels in two stereo images.

# APPENDIX J Illustration of the FastStereoNet optimized architecture

Fig. J.1 illustrates efficient architectures designed by Fast-StereoNet for GPU, FPGA, Intel<sup>®</sup> NCS2 latency predictors, and FLOPs-based objective functions.

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Fig. G.3: Comparison of different FPGA latency prediction models. All values are in millisecond. The green figure is the best model.



Fig. I.1: Analyzing dominance pattern of each node operation (Table I) in the mutated architectures over search proceeding.

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Fig. G.4: Comparison of different Intel<sup>®</sup> NCS2 latency prediction models. All values are in millisecond. The green figure is the best model.

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Fig. H.1: (a) Illustrating the reproducibility of the results for the FLOPs-based search. The purple line is the mean value of five times running of FastStereoNet. (b) Correlation coefficients of several times running the FastStereoNet framework.

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(b) The FastStereoNet best architecture designed for FPGA.



(c) The FastStereoNet best architecture designed for Intel® NCS2.



(d) The best architecture with FLOPs-based objective function (Equation 4).

Fig. J.1: The Conv2d( $\alpha$ , ( $\beta$ ,  $\beta$ ), Valid/Same) stands for a convolutional layer with  $\alpha$  filters, kernel size of  $\beta$ , and Valid/Same padding.

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